

Characterization and Modeling of AlGaN/GaN HEMT Trapping Transients

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Instituições Associadas



- 1 – Introduction and Motivation**
- 2 – Physical Origins of GaN HEMT Trapping Effects**
- 3 – Modeling of Trap Capture and Emission Dynamics**
- 4 – GaN HEMT Nonlinear Model Validation**
- 5 – Conclusions**

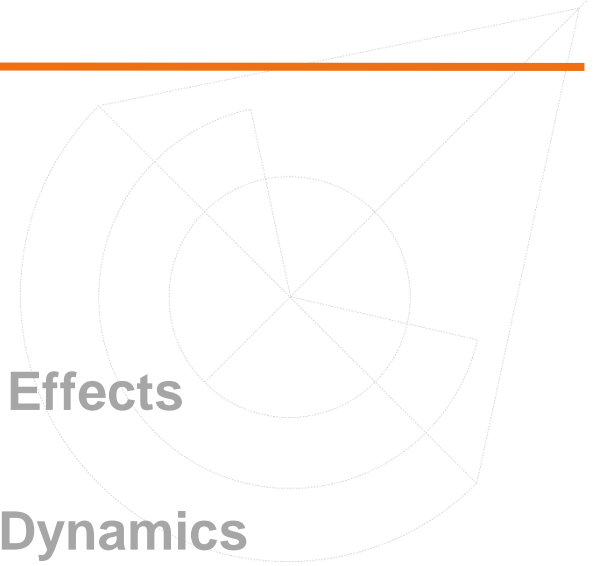
1 – Introduction and Motivation

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3 – Modeling of Trap Capture and Emission Dynamics

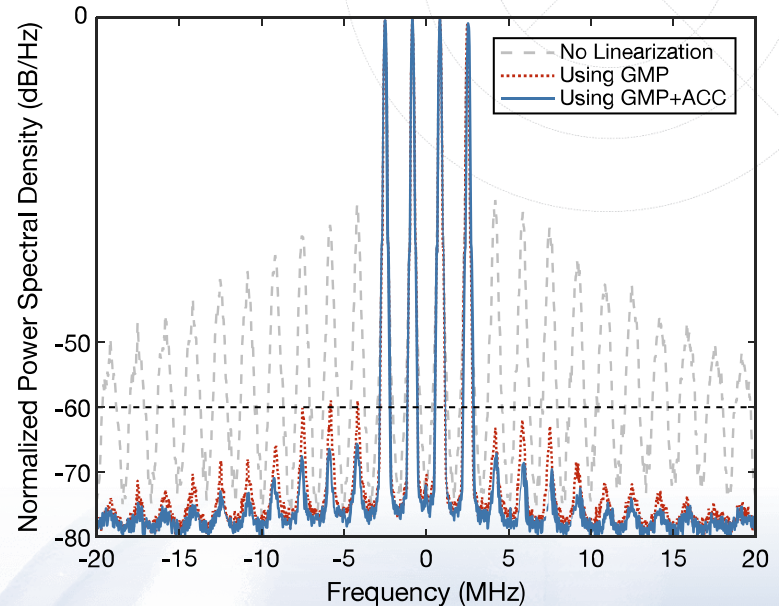
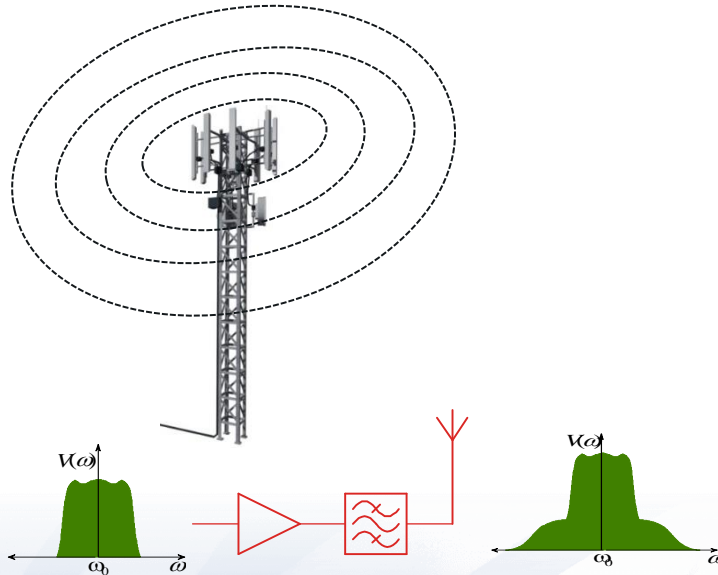
4 – GaN HEMT Nonlinear Model validation

5 – Conclusions



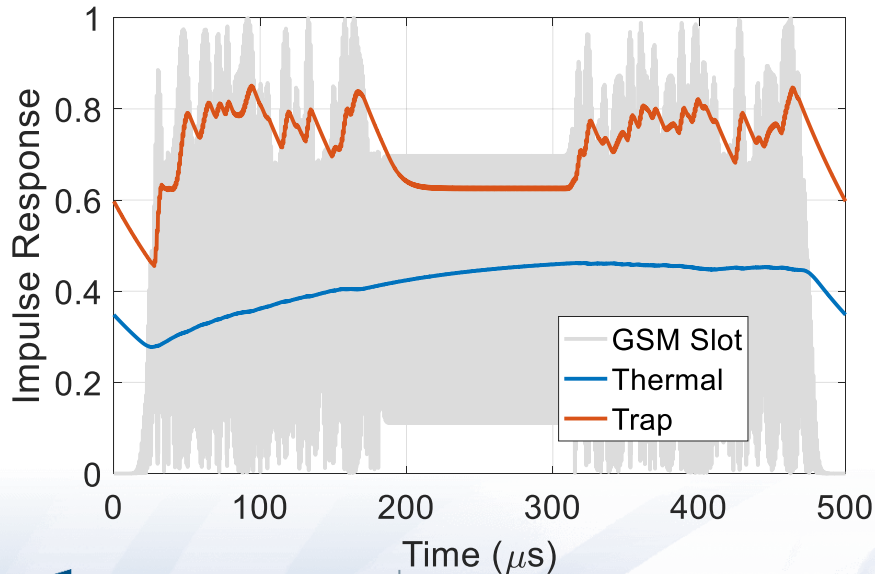
1 – Introduction and Motivation

Nowadays, a substantial amount of power is lost in the RF power amplifier of a base-station because of its need to comply with spectral emission masks: the linearity-efficiency compromise.



1 – Introduction and Motivation

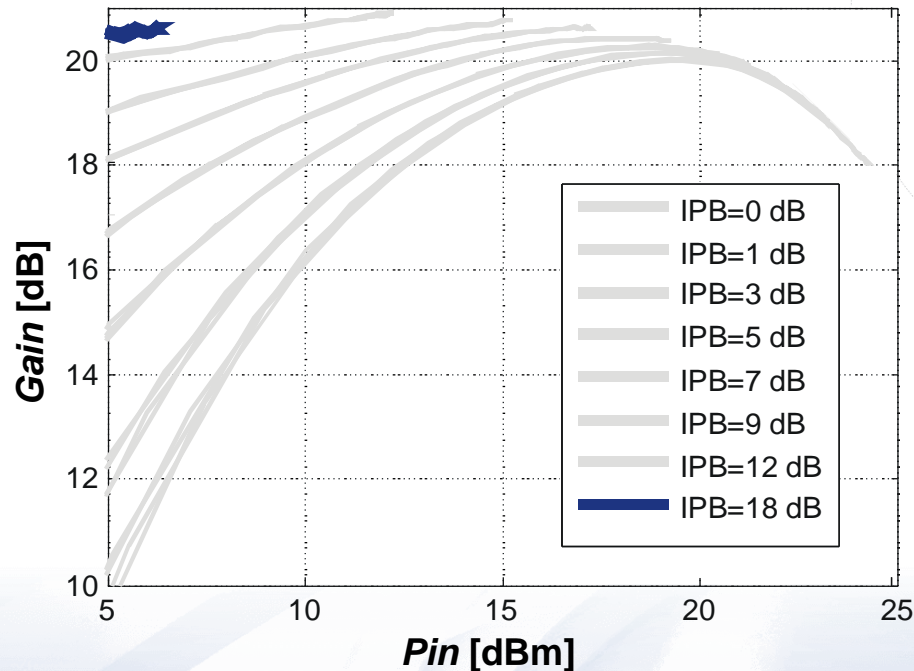
Despite the high efficiency and output power density of AlGaN/GaN HEMT based RF power amplifiers, they suffer from long-term memory effects, which introduce significant spectral regrowth and BER increase.



These are attributed to electro-thermal and trapping phenomena.

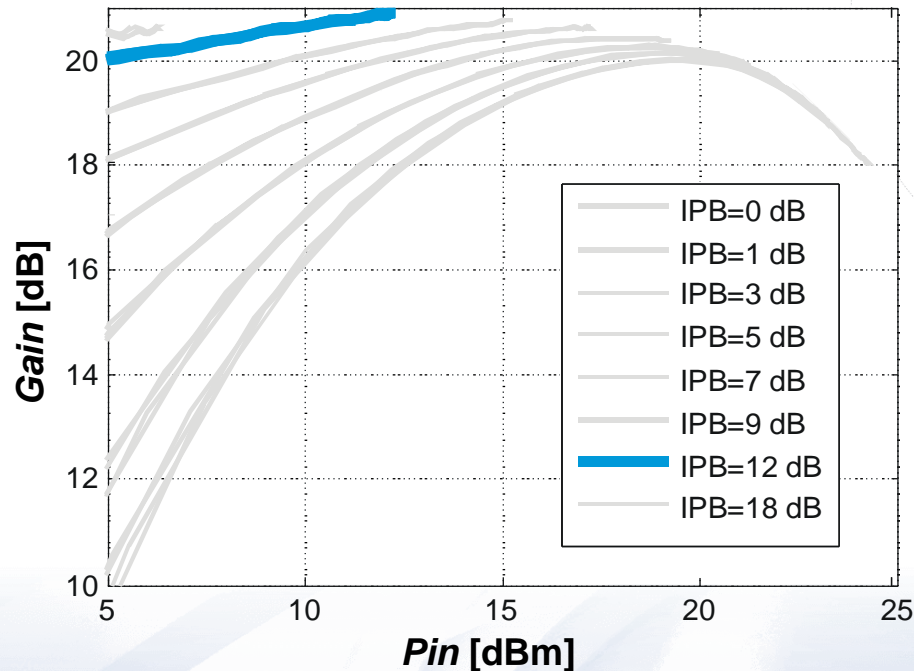
1 – Introduction and Motivation

These long-term memory effects can be noticed as a severe small-signal gain drop versus peak power drive ...



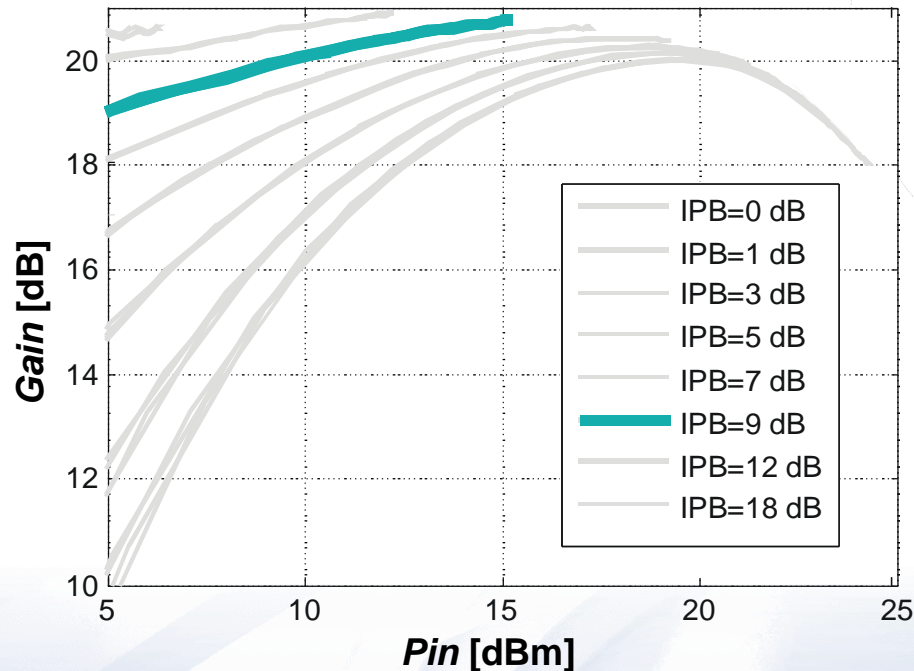
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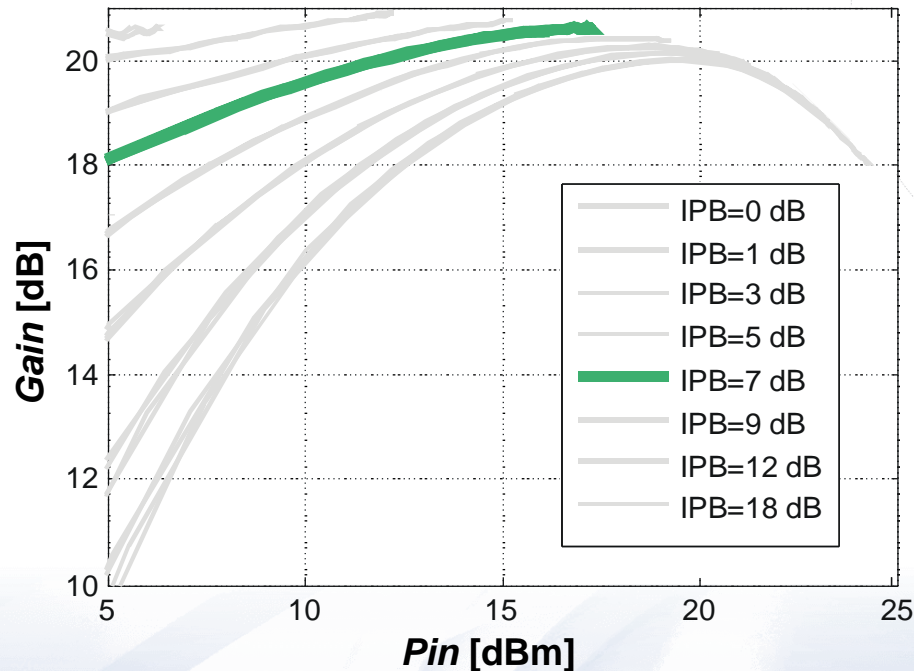
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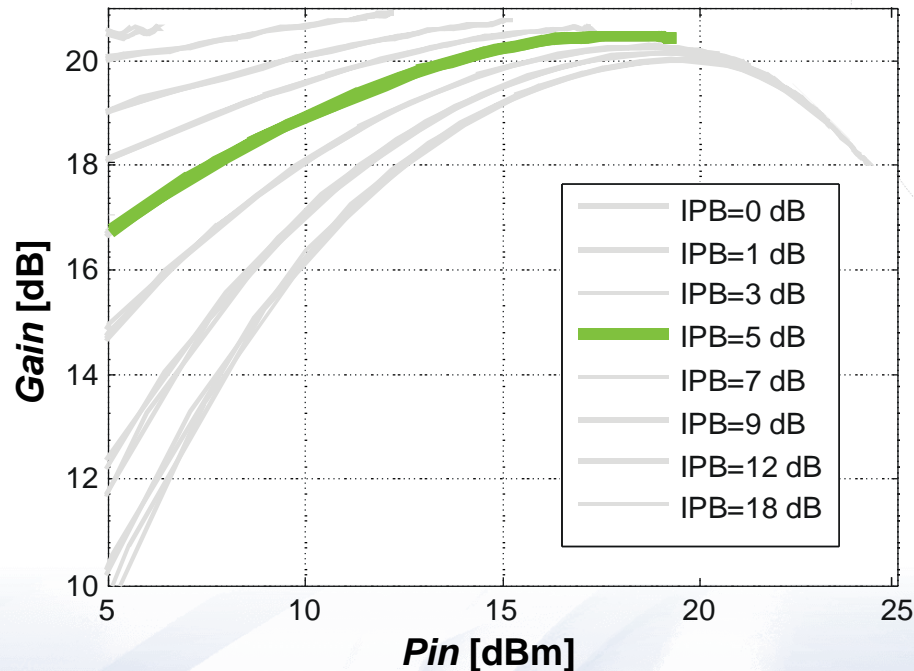
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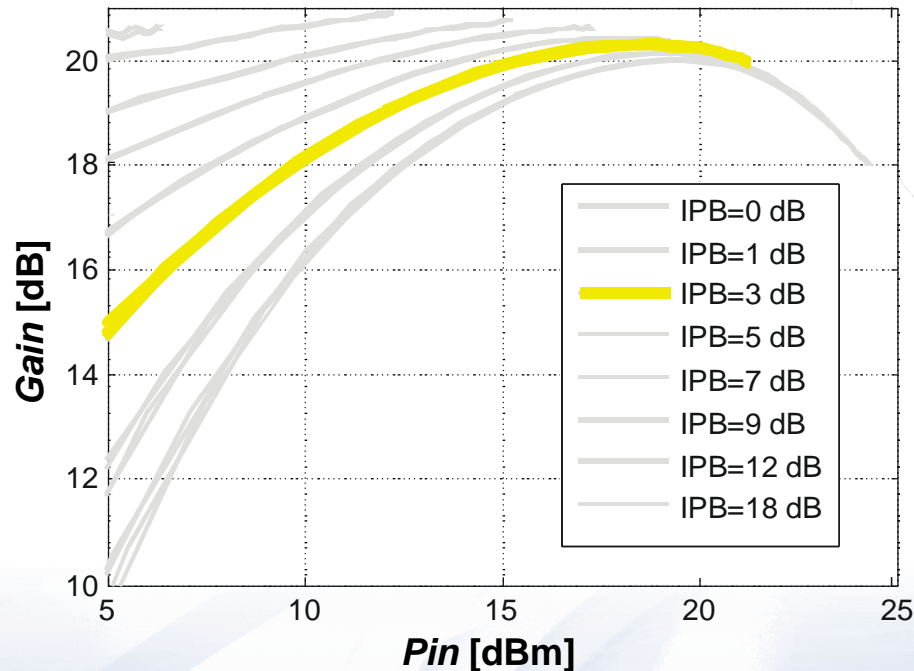
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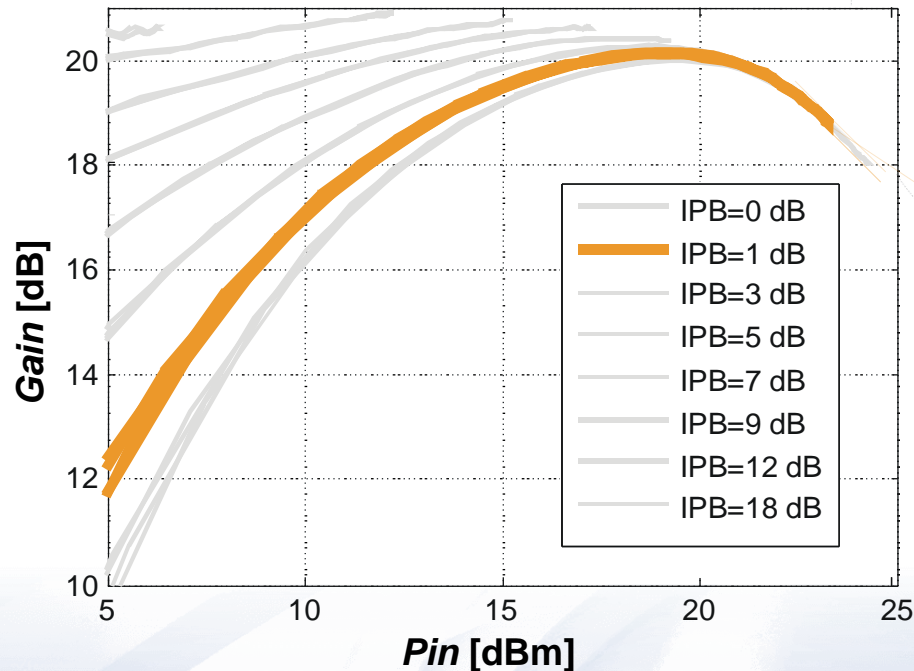
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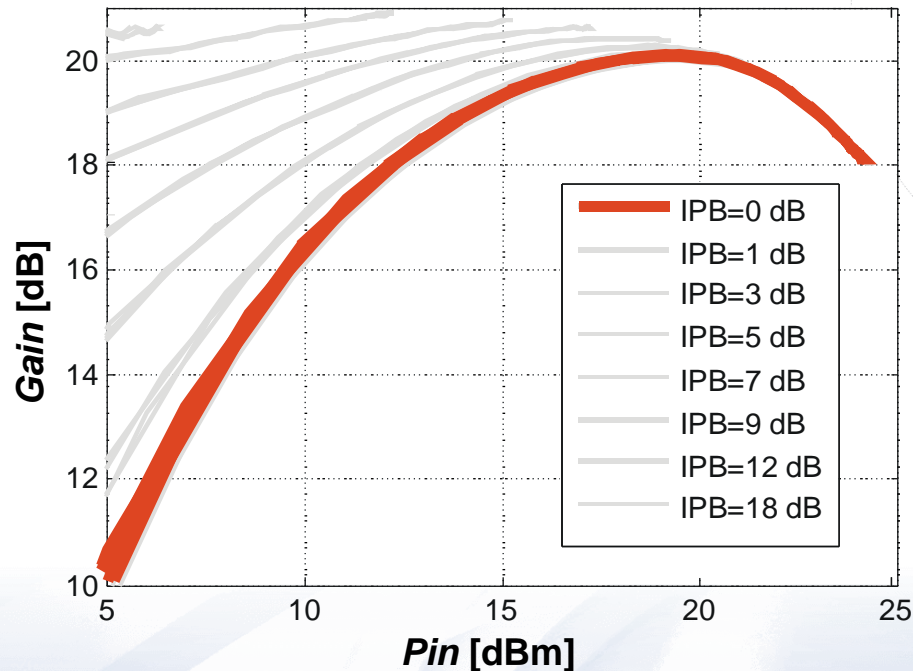
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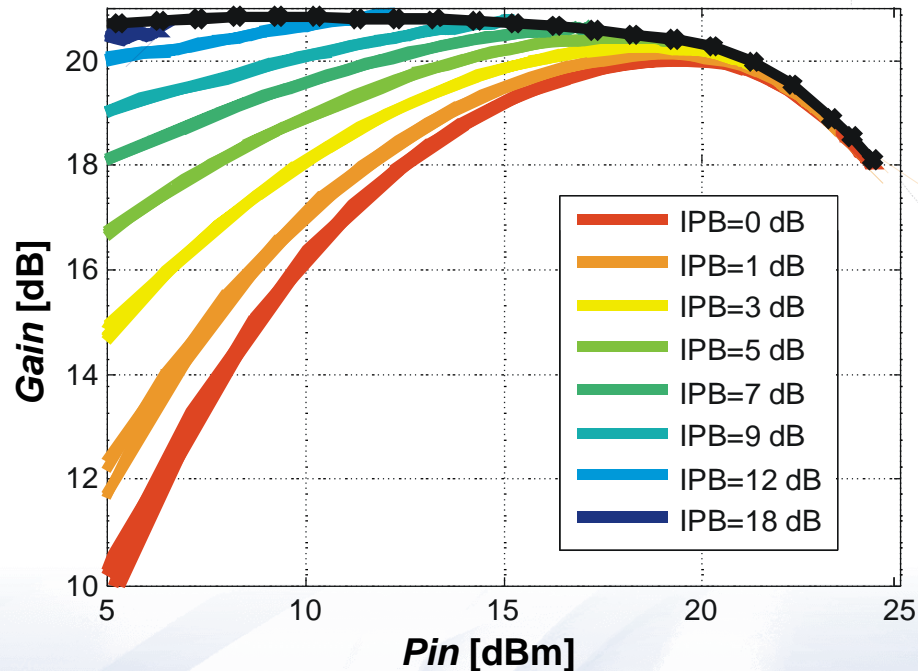
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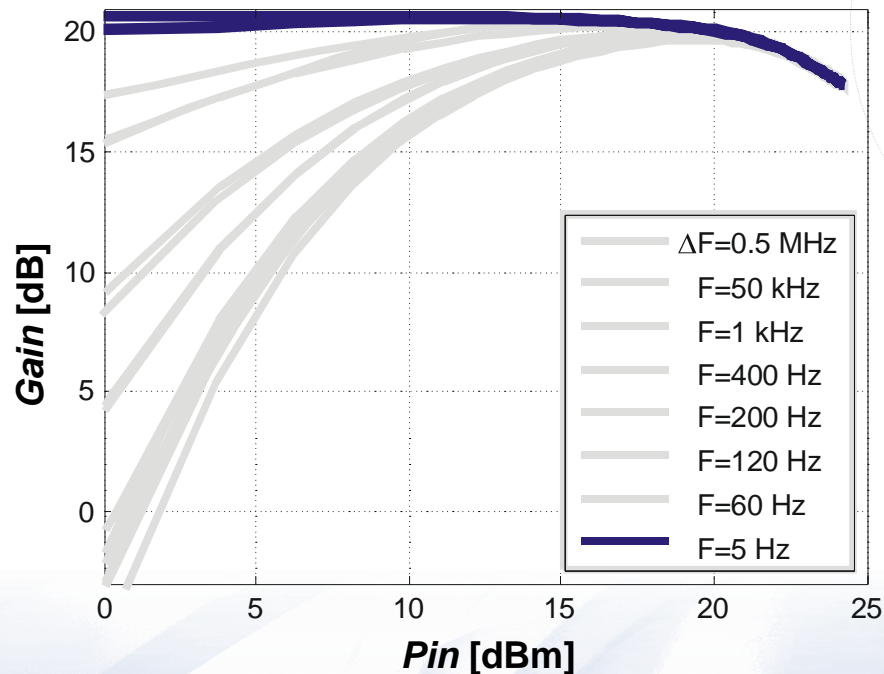
1 – Introduction and Motivation

This small-signal gain drop is also visible under varying envelope speed:



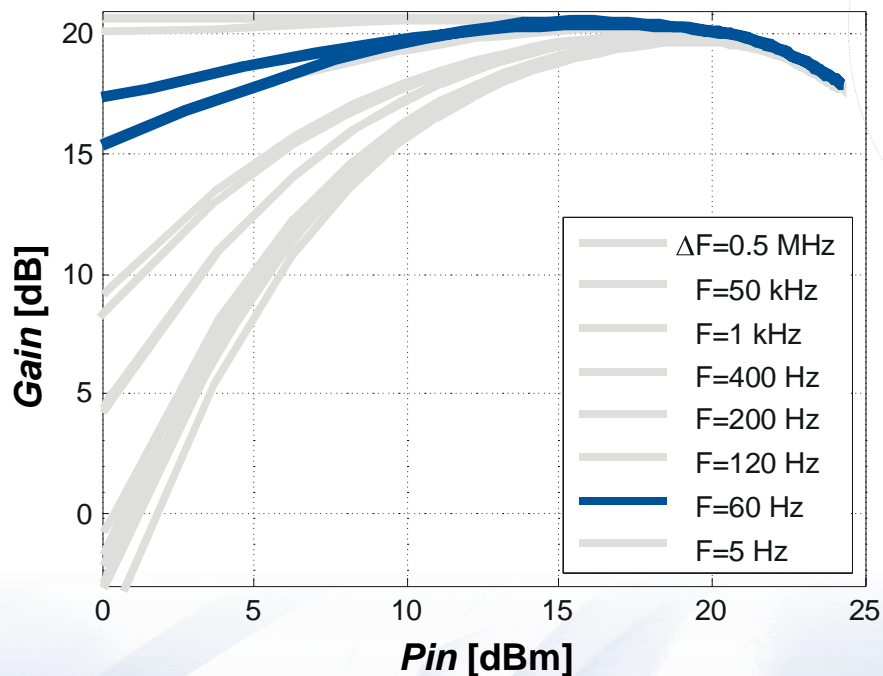
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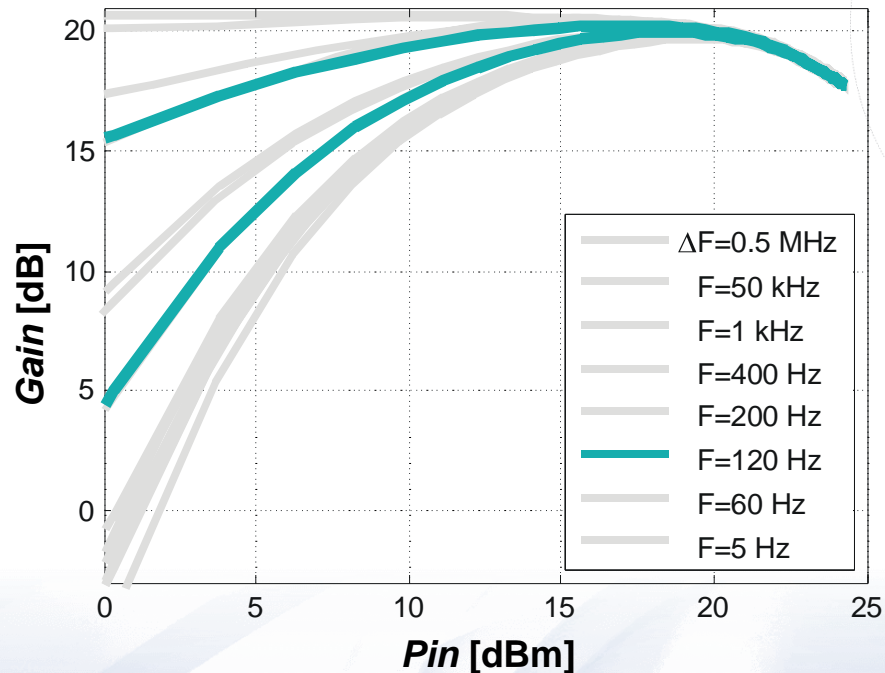
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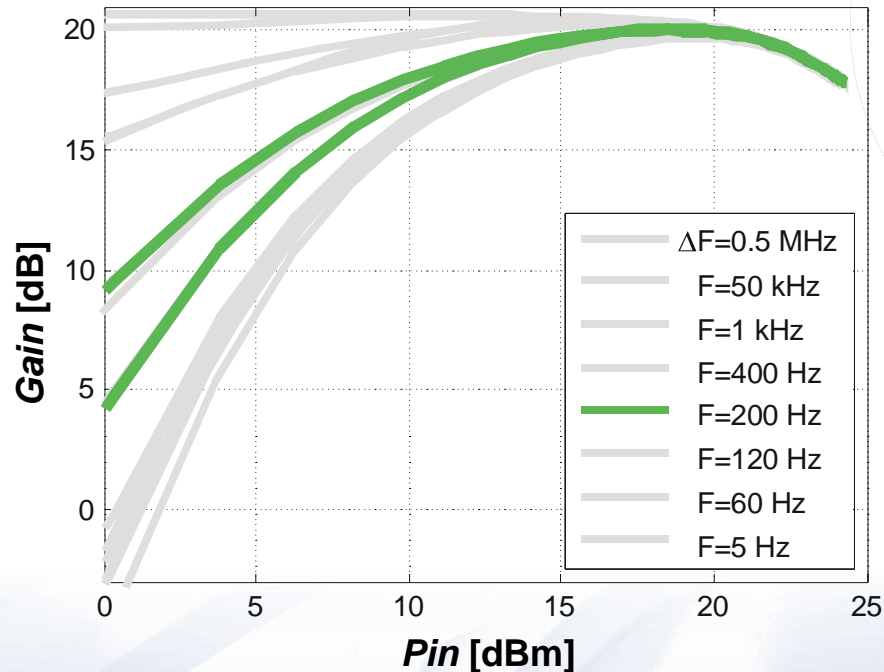
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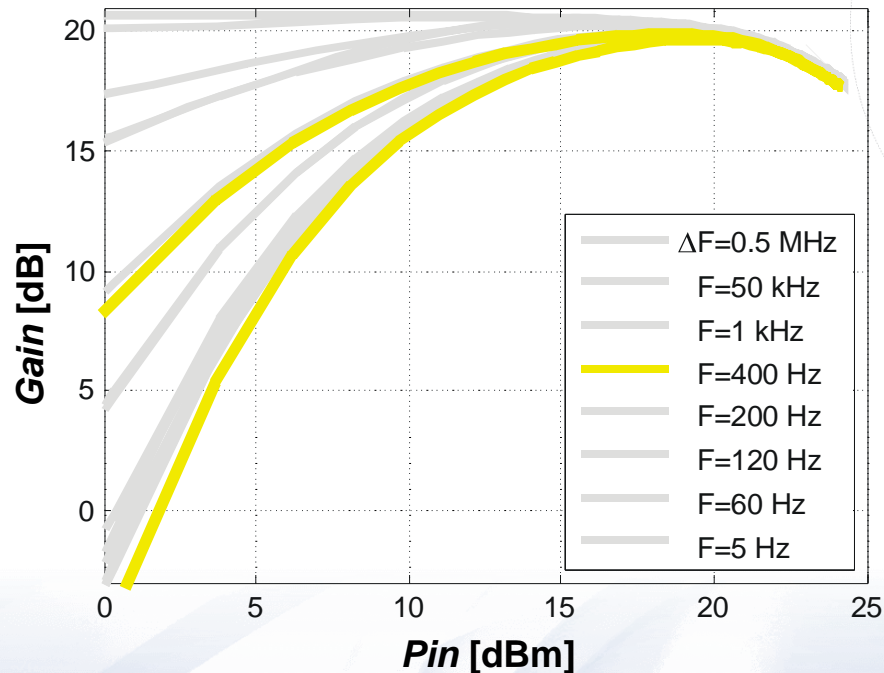
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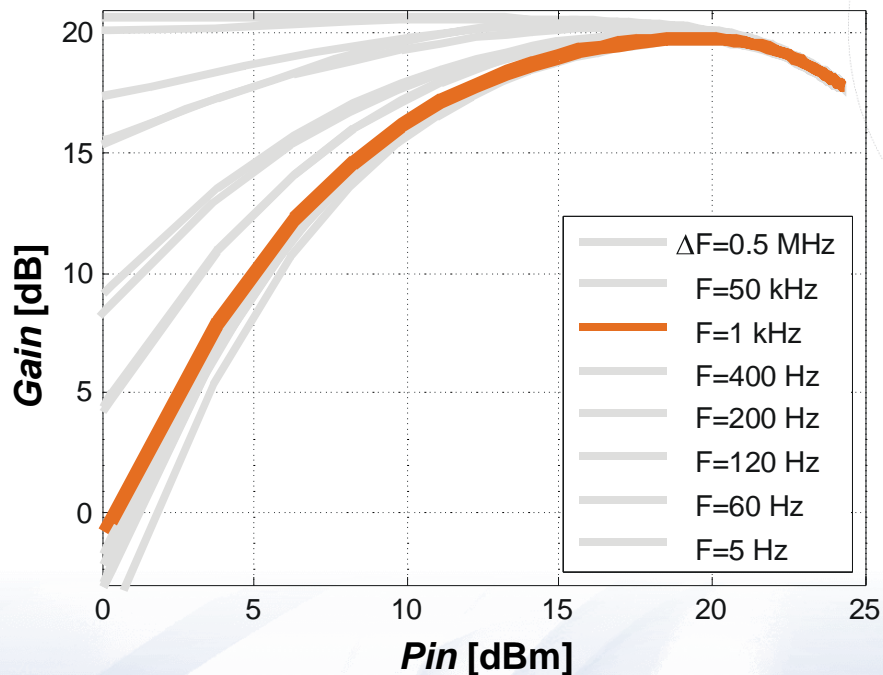
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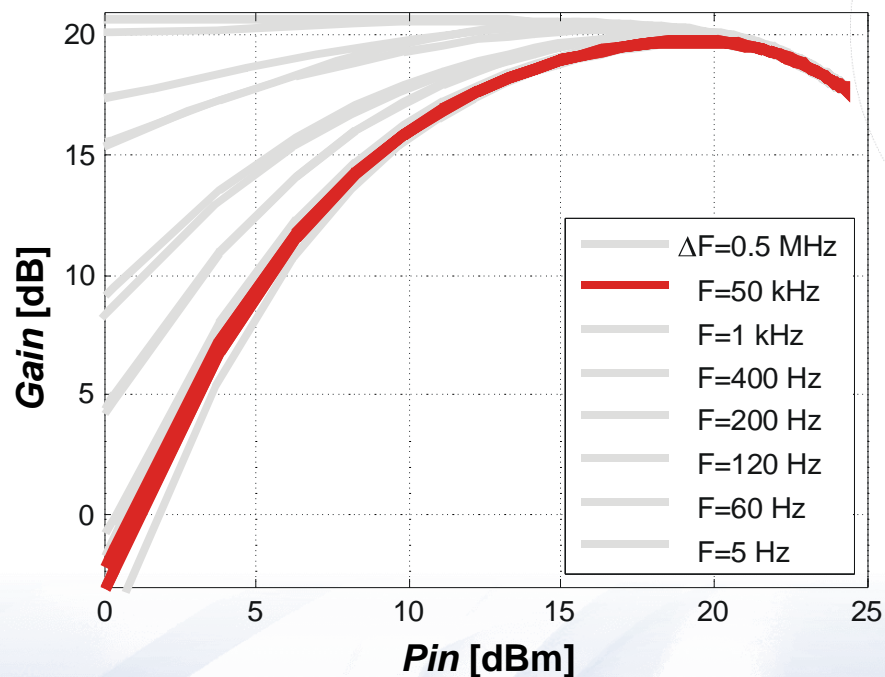
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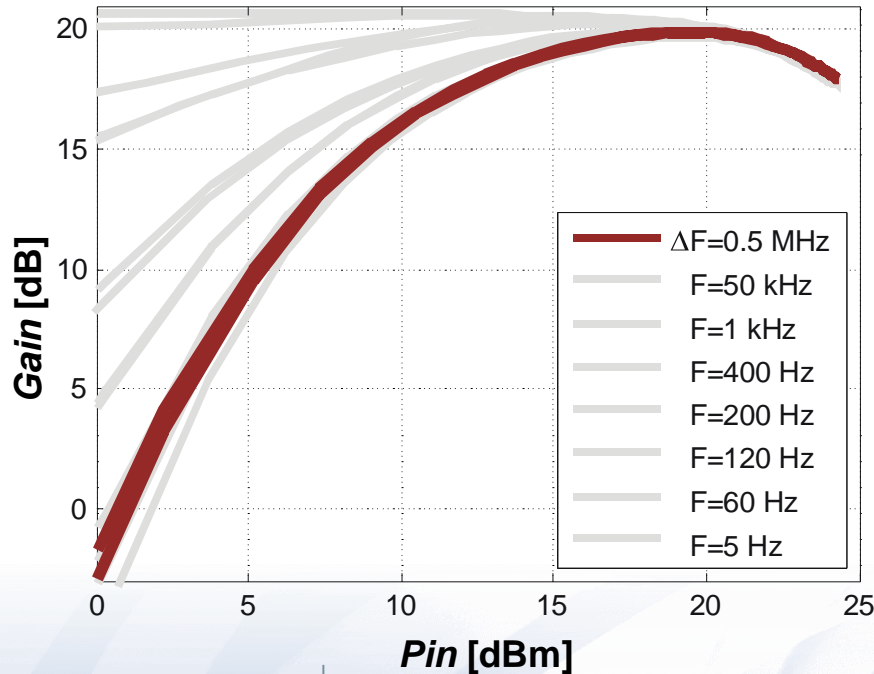
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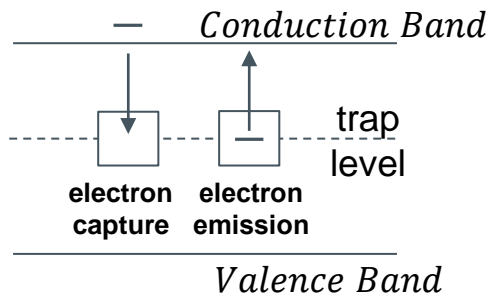
This small-signal gain drop is also visible under varying envelope speed:



So, a thorough characterization and deep understanding of these phenomena are essential for improved RF PA design.

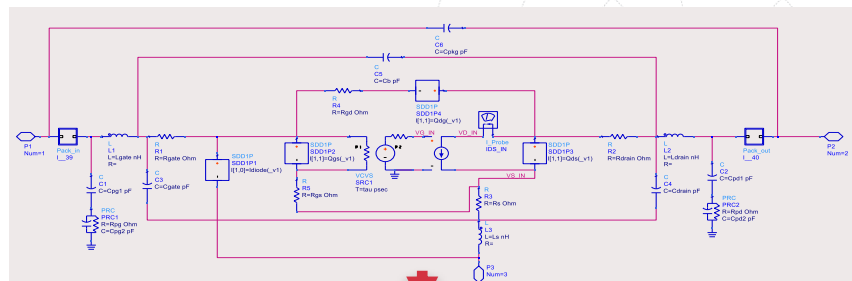
1 – Introduction and Motivation

Physics-Based Modeling

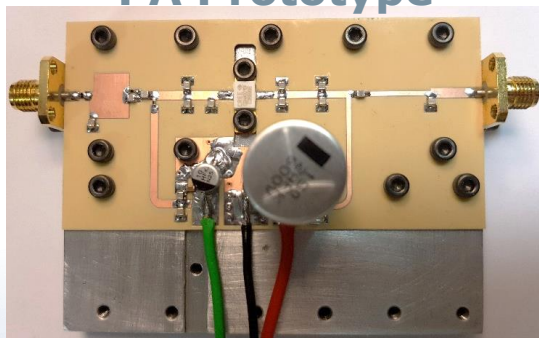


Equivalent-Circuit Modeling

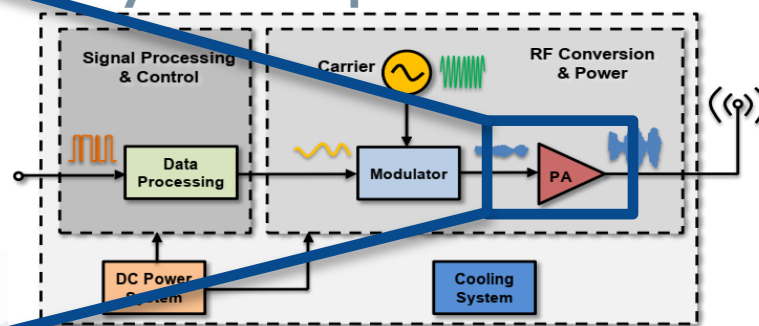
Circuit Simulation



PA Prototype



System Implementation



Presentation Outline

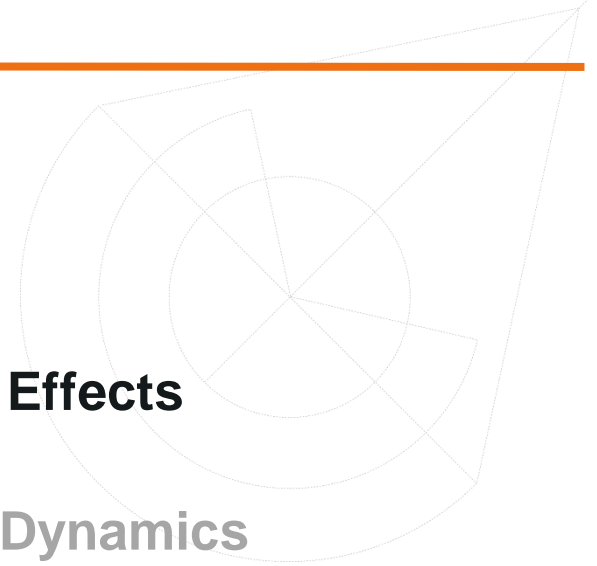
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2 – Physical Origins of GaN HEMT Trapping Effects

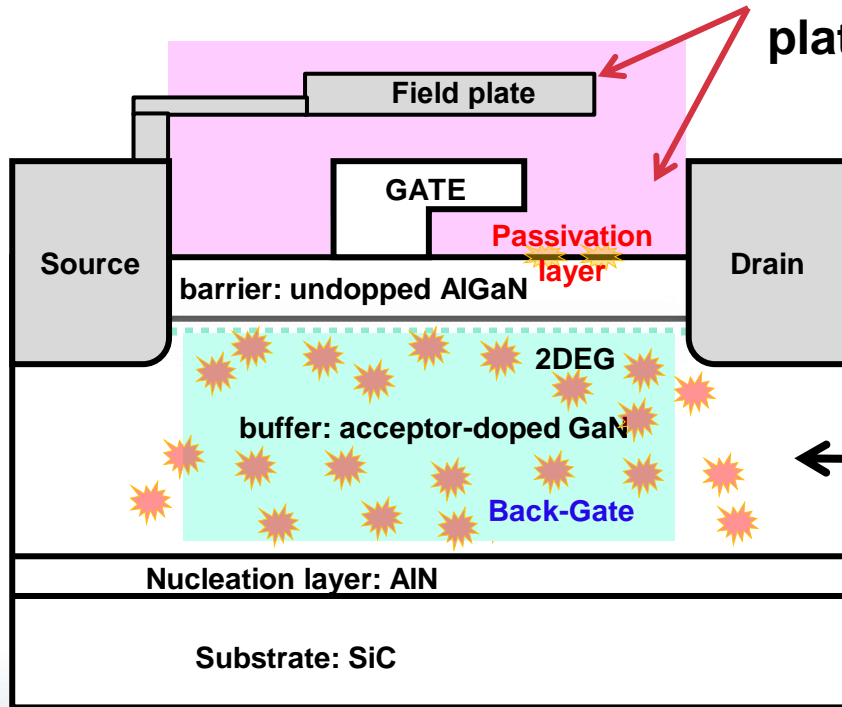
3 – Modeling of Trap Capture and Emission Dynamics

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2 – Physical Origins of GaN HEMT Trapping Effects



Surface traps can be reduced using a **field plate** and an appropriate **passivation layer**.

Buffer traps result, from an **intentional doping** to prevent current leakage through the buffer.

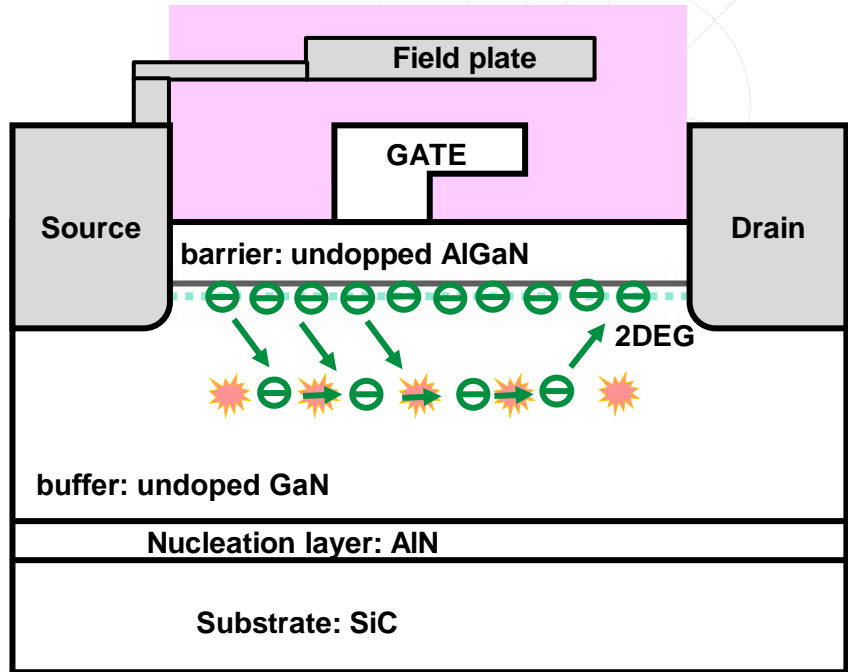
Deep-level electron acceptors: Carbon or Iron

Back-Gate accumulated negative charge results in an equivalent increase of the HEMT's threshold voltage.

2 – Physical Origins of GaN HEMT Trapping Effects

When v_{DS} is increased, electrons are injected into the buffer making it conductive (leakage current).

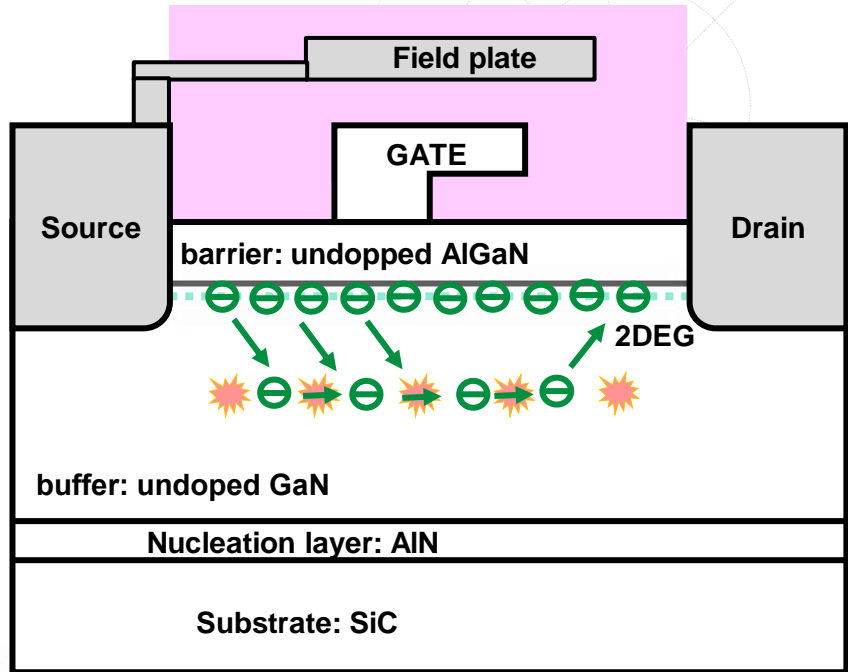
This disrupts the equilibrium between the concentration of free electrons in the conduction band, n_c , and trapped electrons in the ionized traps, n_A , favoring electron capture.



2 – Physical Origins of GaN HEMT Trapping Effects

When v_{DS} is decreased, to its original value, electrons are returned back into the 2DEG.

This reduces the concentration of free electrons in the conduction band, n_c , favoring now electron emission.

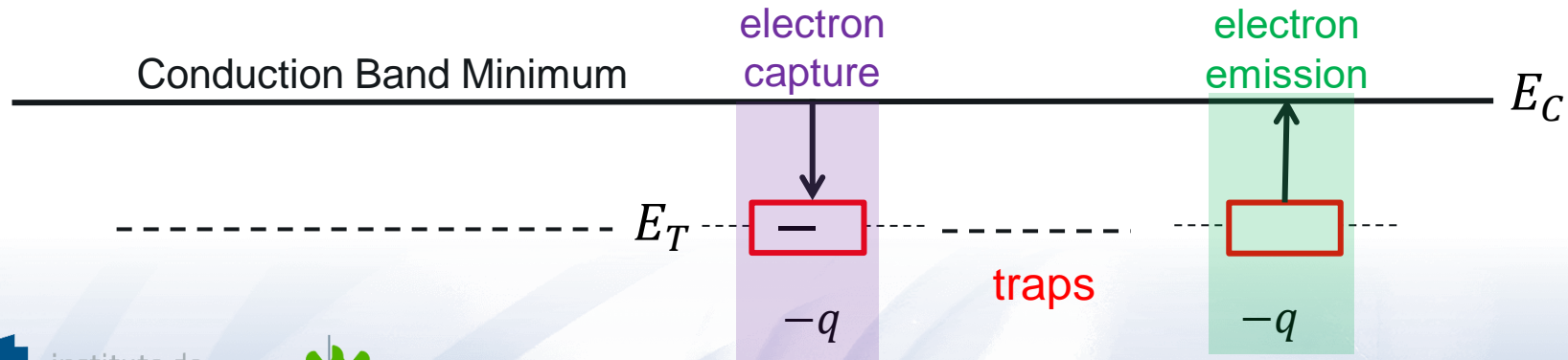


2 – Physical Origins of GaN HEMT Trapping Effects

According to the Shockley-Read-Hall model, the **concentration of trapped electrons**, n_A , in, e.g., acceptor-like traps, can be given as a function of the injected electron concentration in the buffer, n_c

$$\frac{dn_A(t)}{dt} = c_n n_c(t) [N_A - n_A(t)] - e_n n_A(t) [N_C - n_C(t)]$$

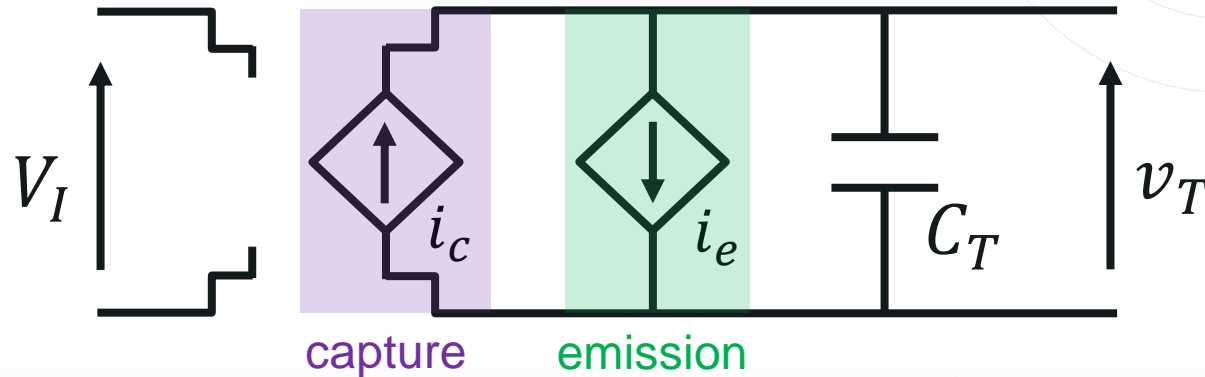
$$\frac{dn_A(t)}{dt} \approx c_n N_C \left[(N_A - n_A(t)) e^{\frac{F_I(t) - E_C}{kT}} - n_A(t) e^{\frac{E_T - E_C}{kT}} \right]$$



2 – Physical Origins of GaN HEMT Trapping Effects

We can recast the previous equation in terms of an **effective trap potential**, $v_T(t)$, and effective difference between the quasi-Fermi and conduction band, $F_I(t) - E_C$, $V_I(t)$, as

$$\frac{dv_T(t)}{dt} = \omega_0 \left[V_0 e^{\frac{qV_I(t)}{kT}} - v_T(t) \left(1 + e^{\frac{qV_I(t)}{kT}} \right) \right]$$



$$V_I(t) = \alpha v_{DS}(t) - \beta v_T(t) + C$$

2 – Physical Origins of GaN HEMT Trapping Effects

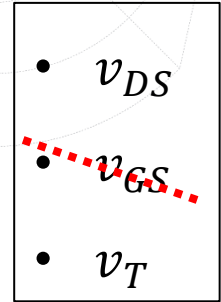
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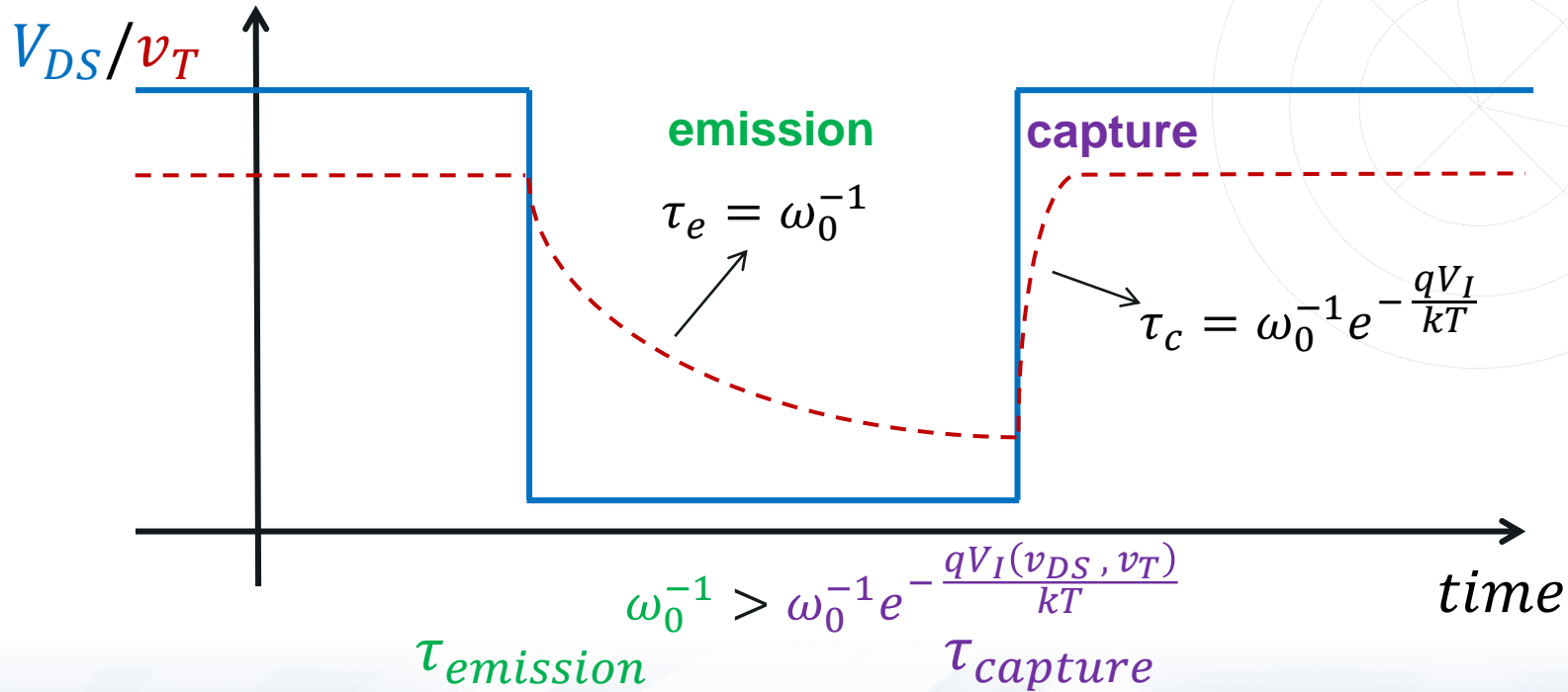
$V_I(t)$ is a representation of $F_I(t) - E_C$ depending on \longrightarrow

ω_0 is the characteristic frequency of our system

V_0 is the maximum effective trap potential – all traps filled



2 – Physical Origins of GaN HEMT Trapping Effects



Presentation Outline

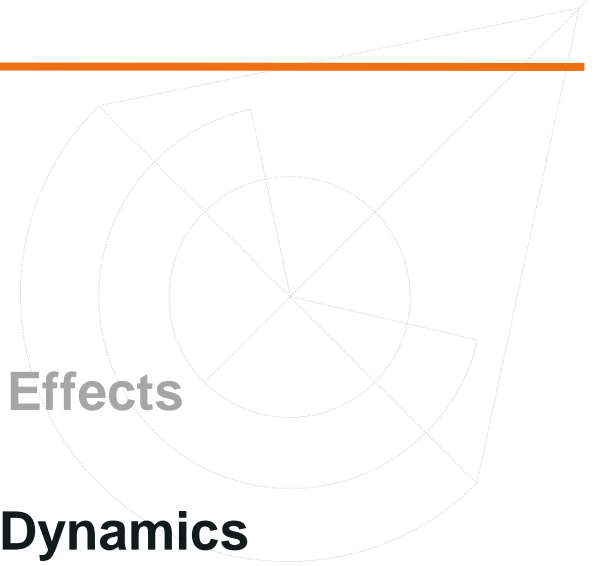
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4 – GaN HEMT Nonlinear Model Validation

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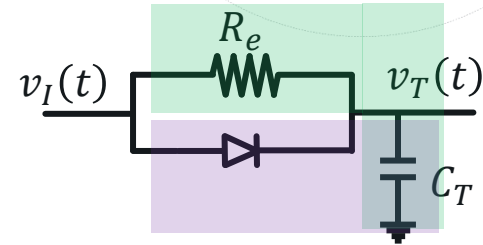


3 – Modeling of Trap Capture and Emission Dynamics

This SRH model was first used by Kunihiro and Ohno¹ for buffer trapping phenomena in GaN HEMTs, who realized that the capture process could be modeled by the charge of a capacitor driven by a diode, while the emission could be represented by the discharge of that capacitor through a linear resistor:

$$\frac{dv_T(t)}{dt} = \frac{1}{R_e C_T} \left[V_0 e^{\frac{qV_I(t)}{kT}} - v_T(t) \left(1 + e^{\frac{qV_I(t)}{kT}} \right) \right]$$

Kunihiro-Ohno Model

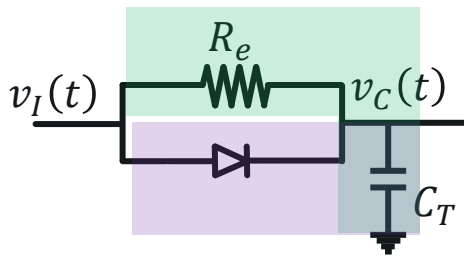


¹ K. Kunihiro, and Y. Ohno, “A large-signal equivalent circuit model for substrate-induced drain-lag phenomena in HJFETs,” *IEEE T-ED*, Sept. 1996.

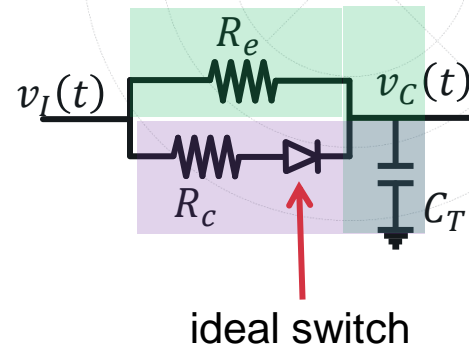
3 – Modeling of Trap Capture and Emission Dynamics

This model was then simplified by Jardel² and others assuming the diode is an ideal switch, this way defining two different RC time constants, for capture and emission, respectively:

Kunihiro-Ohno Model



Jardel Model



- ² O. Jardel, F. De Groote, T. Reveyrand, J. C. Jacquet, C. Charbonniaud, J. P. Teyssier, D. Floriot, and R. Quere, “An Electrothermal Model for AlGaIn/GaN Power HEMTs Including Trapping Effects to Improve Large-Signal Simulation Results on High VSWR,” *IEEE T-MTT*, Dec. 2007.

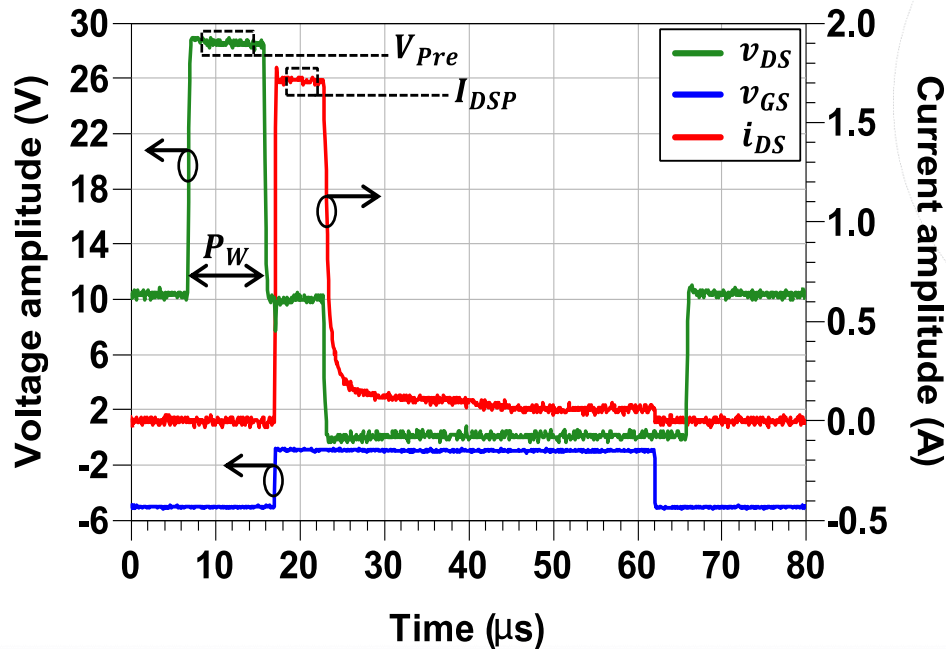
3 – Modeling of Trap Capture and Emission Dynamics

- 1 – Trapping and electro-thermal effects present dynamic characteristics that share similar time-constants.
- 2 – Because the SRH generation-recombination model is based on the Fermi-Dirac statistics, trapping time-constants, themselves, are known to be strongly dependent on the device's temperature.
- 3 – So, it is essential to decouple trapping behavior from electro-thermal effects, assuring isothermal testing.
- 4 – For that, the device is always subjected to a trap setting state pre-pulse, in which $v_{GS} < V_T$. Therefore, during the pre-pulse, the i_{DS} current is zero and thus the device is always kept at room temperature³.

³ J. Gomes, L. Nunes, C. Gonçalves and J. Pedro, "An Accurate Characterization of Capture Time Constants in GaN HEMTs," *IEEE T-MTT*, Jul. 2019.

3 – Modeling of Trap Capture and Emission Dynamics

5 – **DUT's capture transients**, via double-pulse IDS-DLTS measurements.



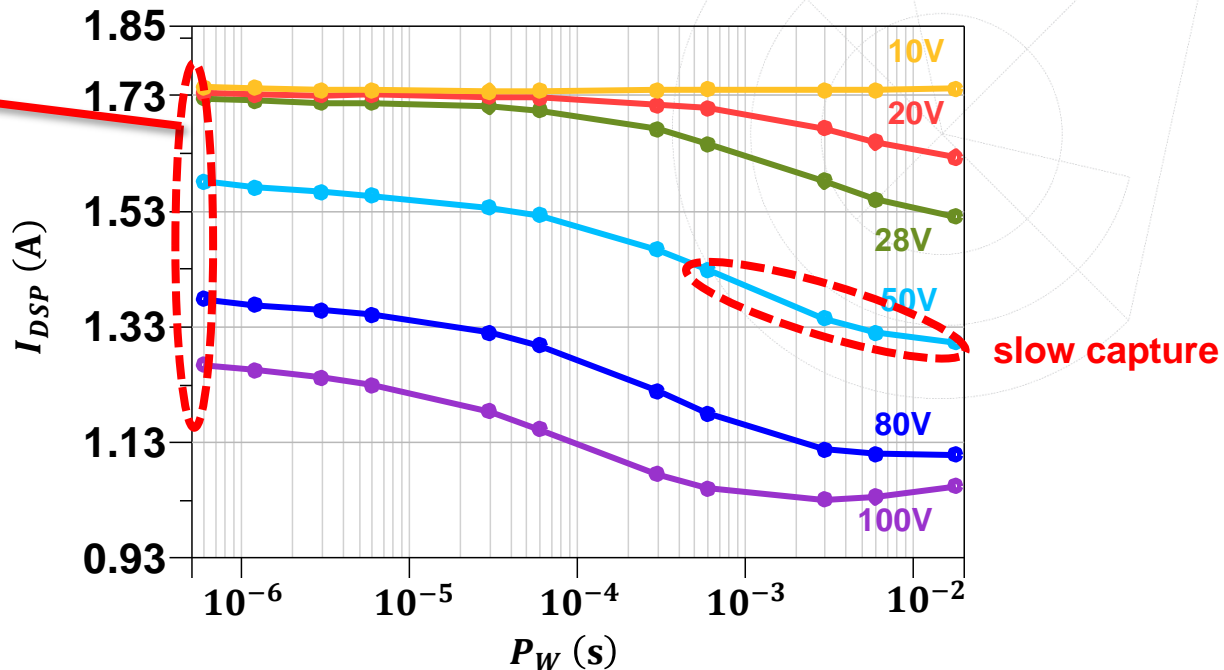
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3 – Modeling of Trap Capture and Emission Dynamics

5 – **DUT's capture transients**, via double-pulse IDS-DLTS measurements.

fast capture
 $\ll 1 \mu\text{s}$

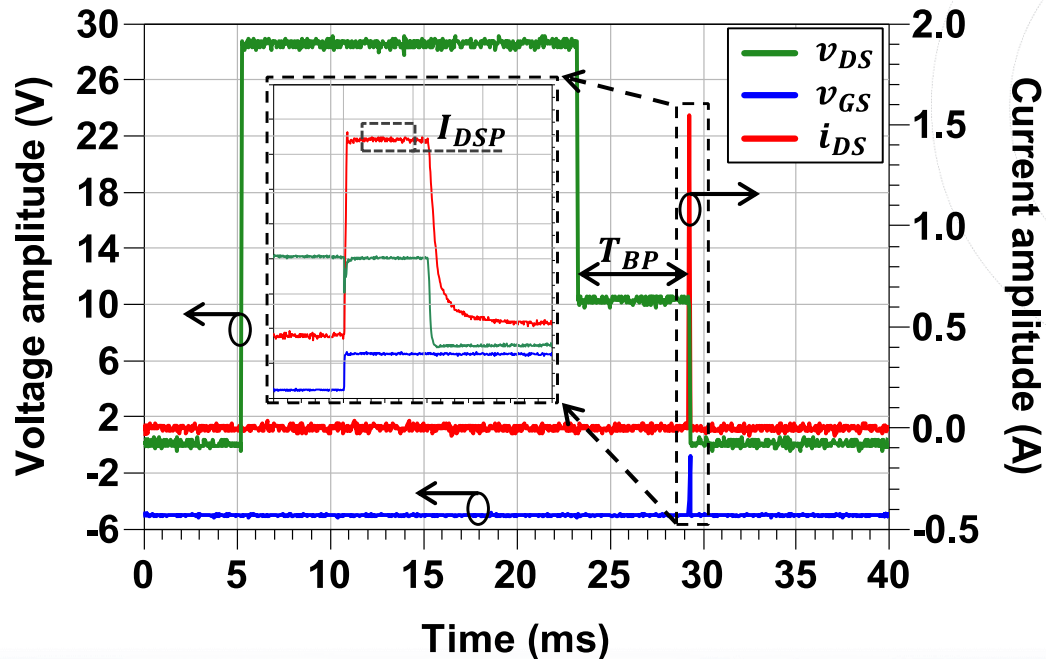
TCAD simulations have shown that these fast capture transients are on the order of ns or tens of ns.



³ J. Gomes, L. Nunes, C. Gonçalves and J. Pedro, "An Accurate Characterization of Capture Time Constants in GaN HEMTs," *IEEE T-MTT*, Jul. 2019.

3 – Modeling of Trap Capture and Emission Dynamics

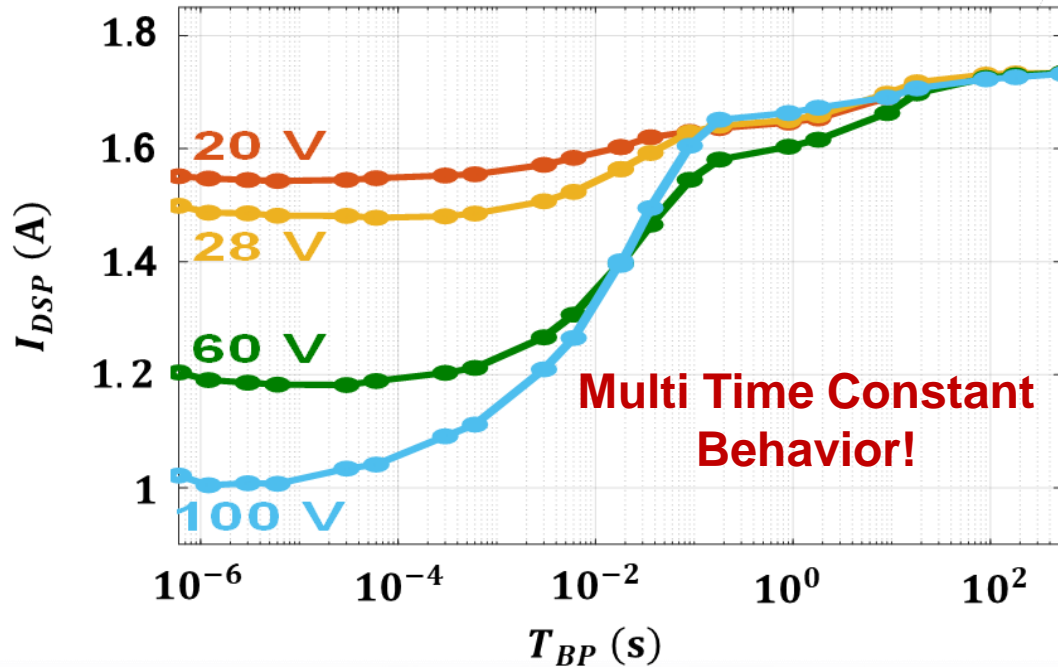
6 – **DUT's emission transients**, via double-pulse IDS-DLTS measurements.



³ J. Gomes, L. Nunes, C. Gonçalves and J. Pedro, "An Accurate Characterization of Capture Time Constants in GaN HEMTs," *IEEE T-MTT*, Jul. 2019.

3 – Modeling of Trap Capture and Emission Dynamics

6 – **DUT's emission transients**, via double-pulse IDS-DLTS measurements.

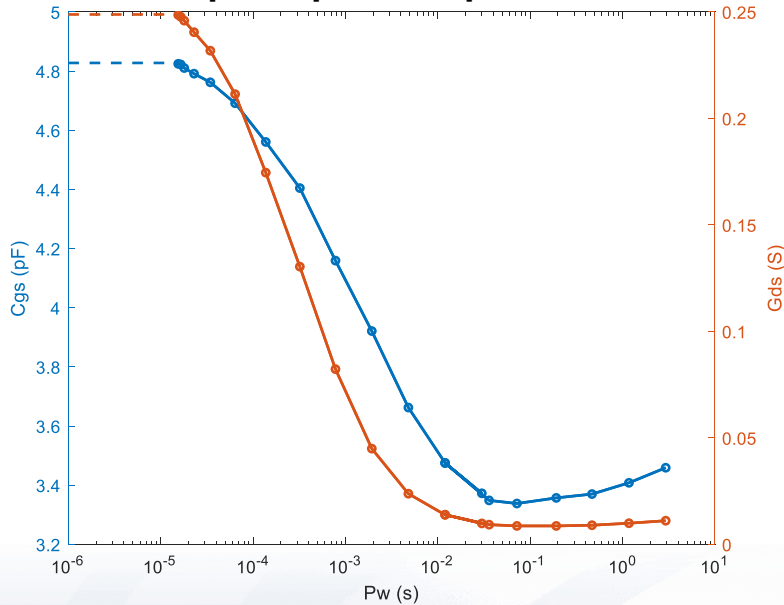


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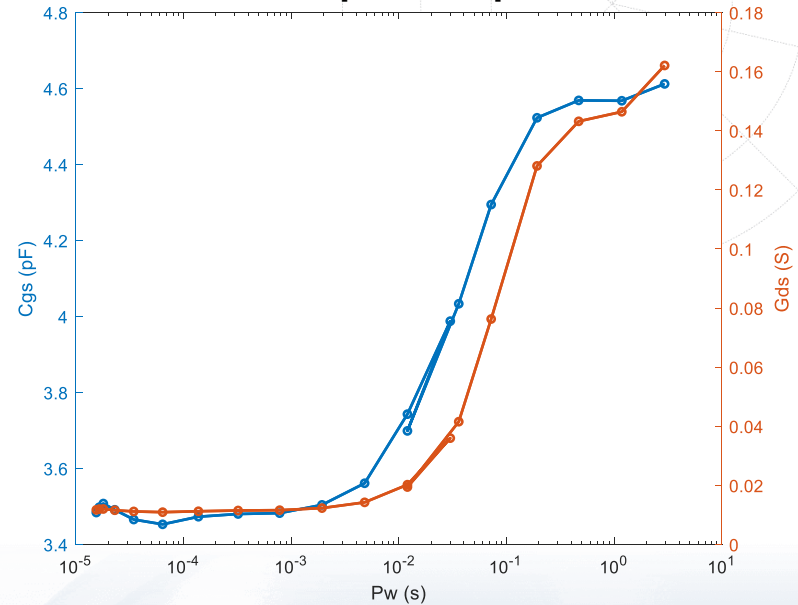
3 – Modeling of Trap Capture and Emission Dynamics

Cgs,Gds – DLTS (for room temperature) – Capture and Emission Transients

Capture process profile



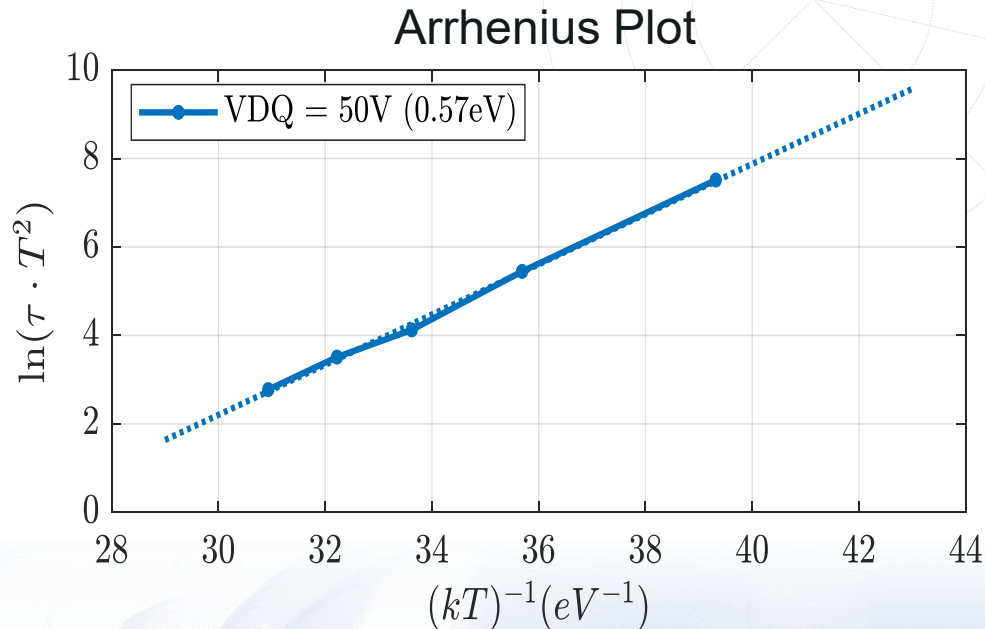
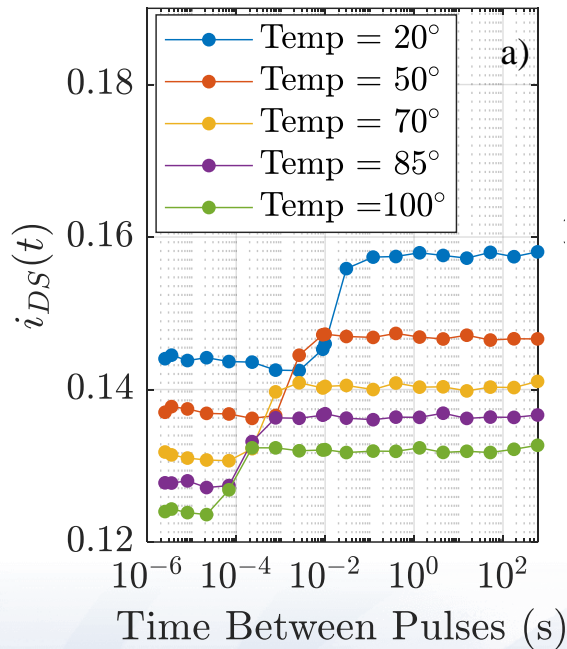
Emission process profile



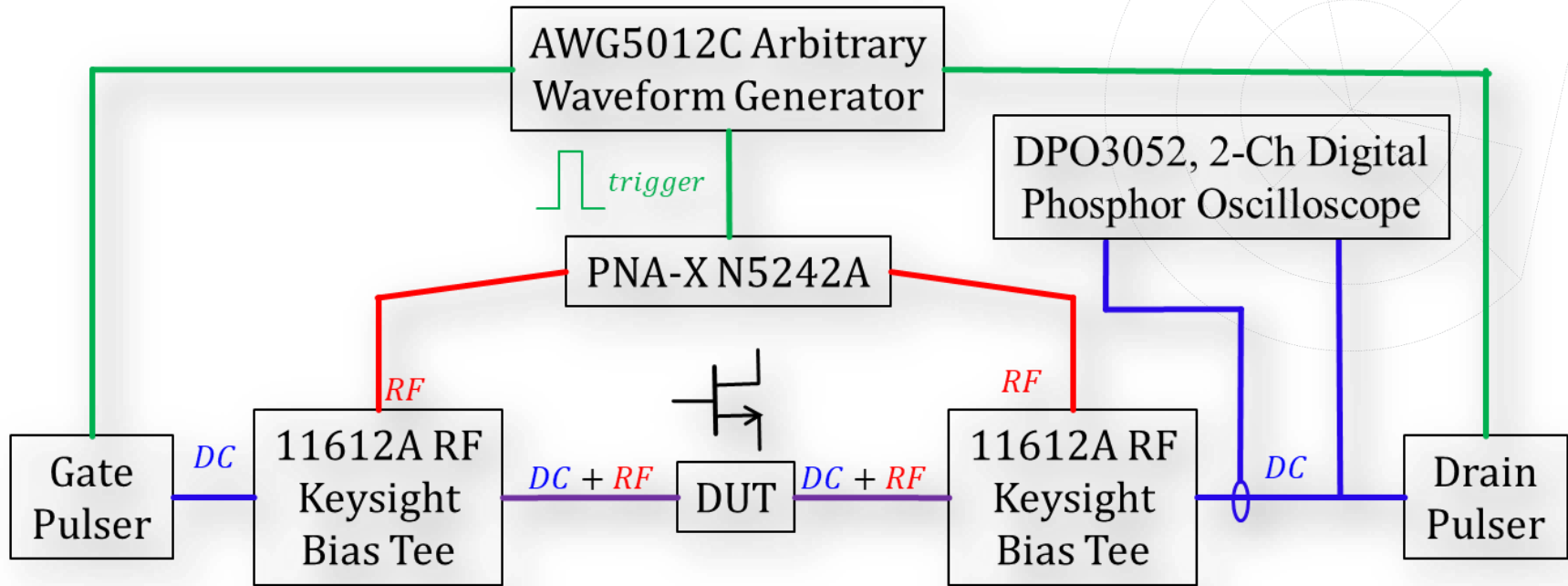
3 – Modeling of Trap Capture and Emission Dynamics

Guaranteeing constant temperature in IDS-DTLS measurements is essential since

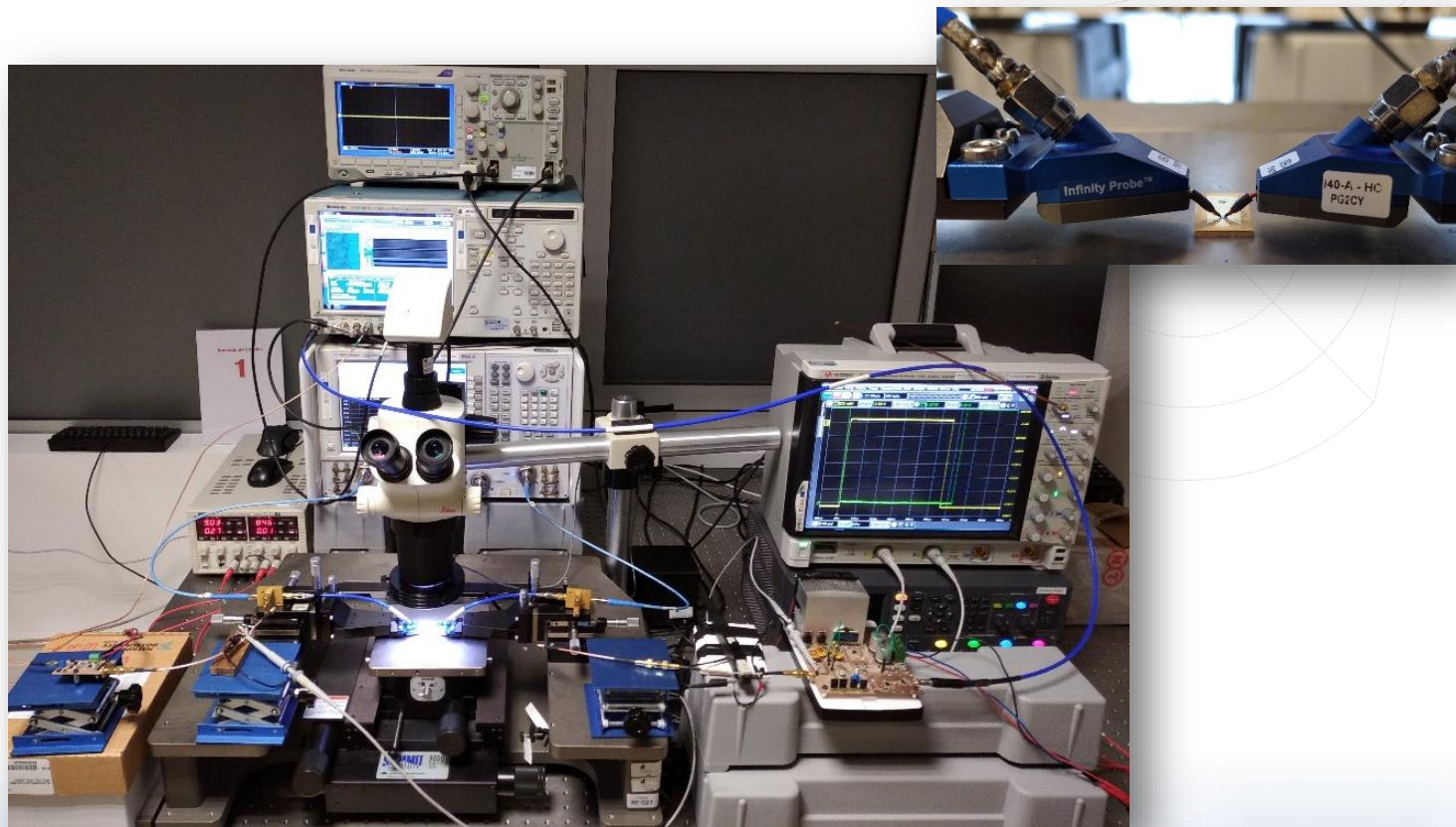
the SRH $\omega_0 \propto T^2 e^{-\frac{E_A}{kT}}$ can vary about 2 orders of magnitude in just 80°C.



3 – Modeling of Trap Capture and Emission Dynamics

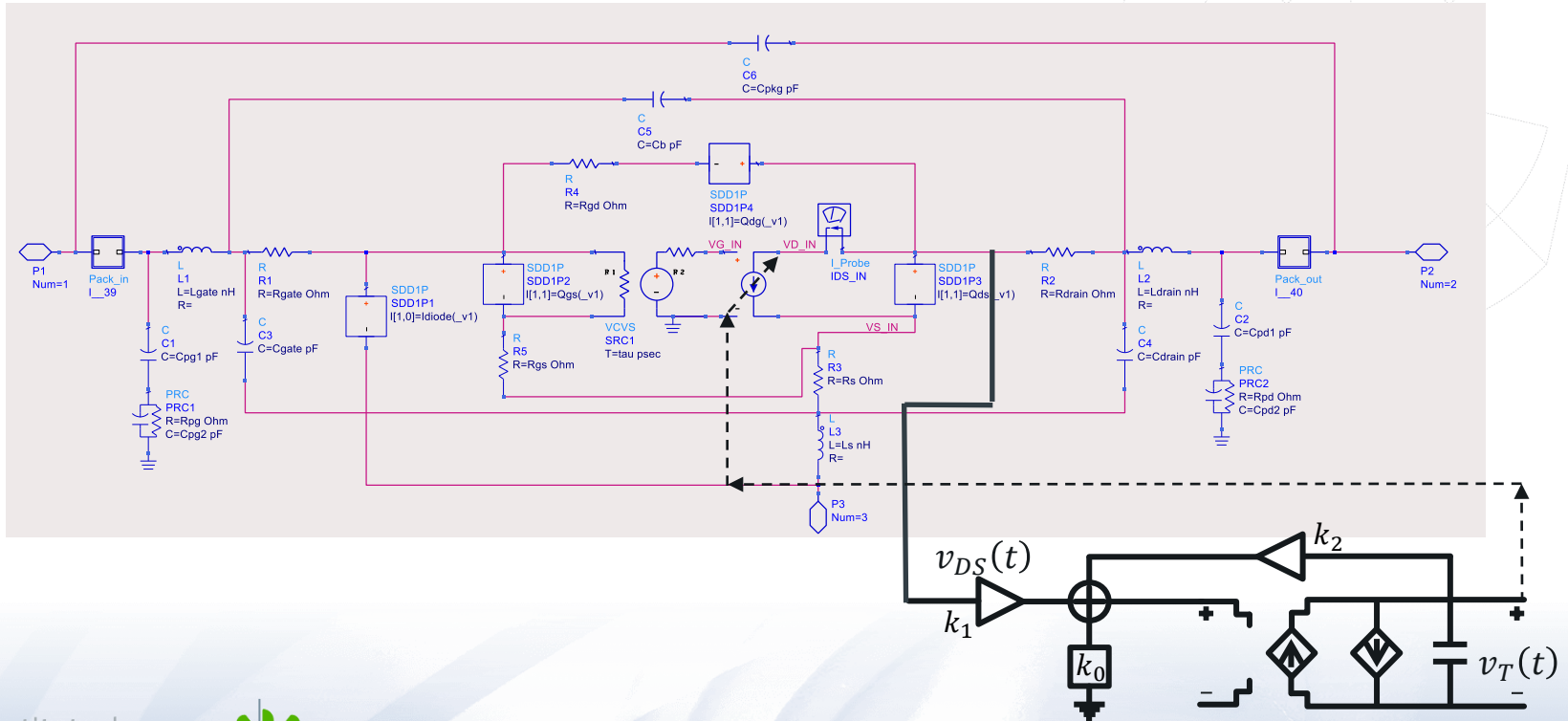


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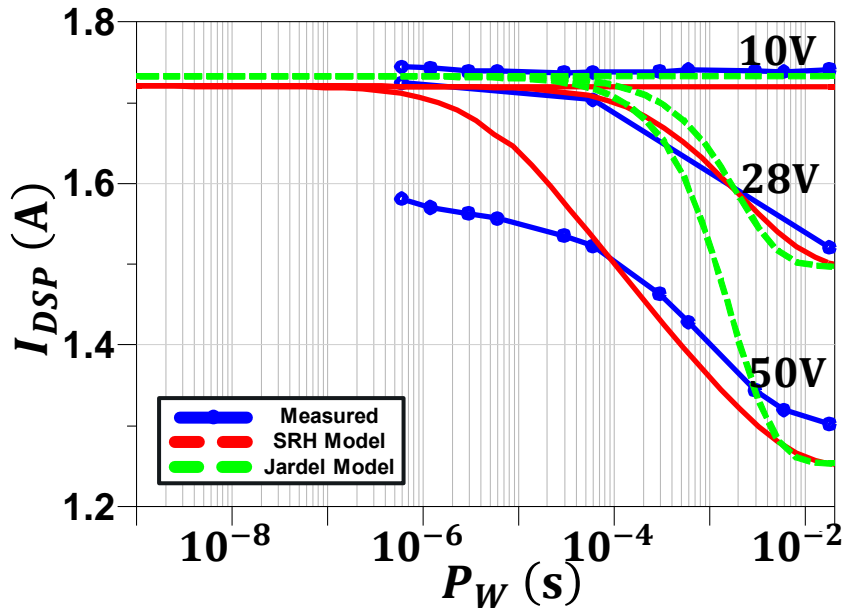
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Nonlinear Equivalent-Circuit GaN HEMT Modeling



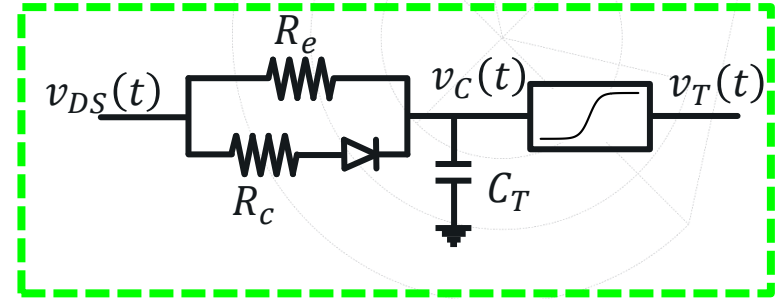
3 – Modeling of Trap Capture and Emission Dynamics

Prediction of GaN HEMT Capture Transients

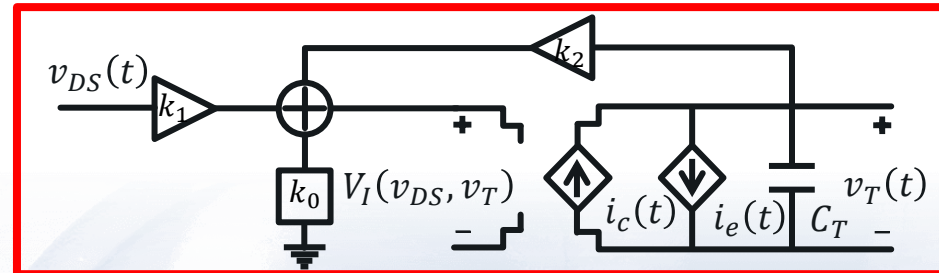


Much better prediction, but there is still a wide room for improvement!

Fixed Time Constant

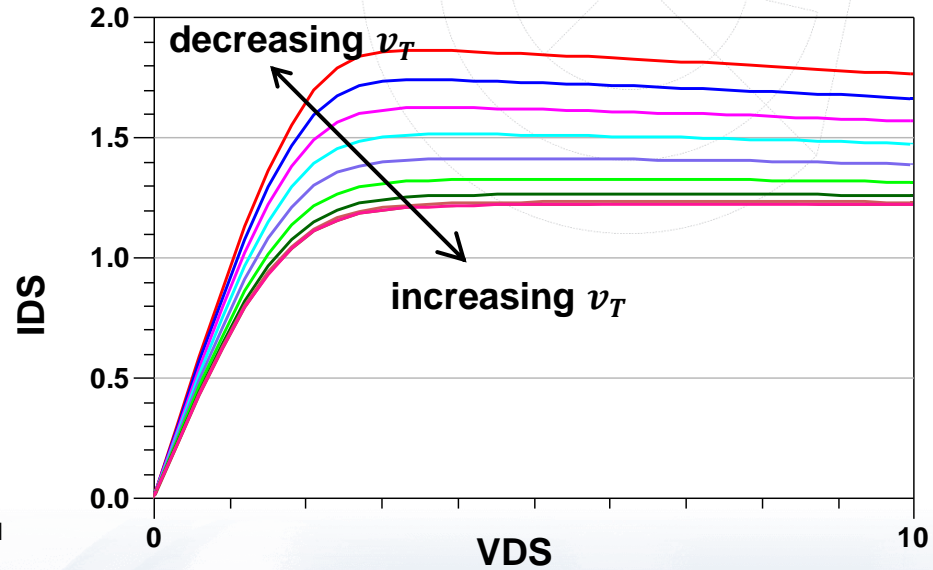
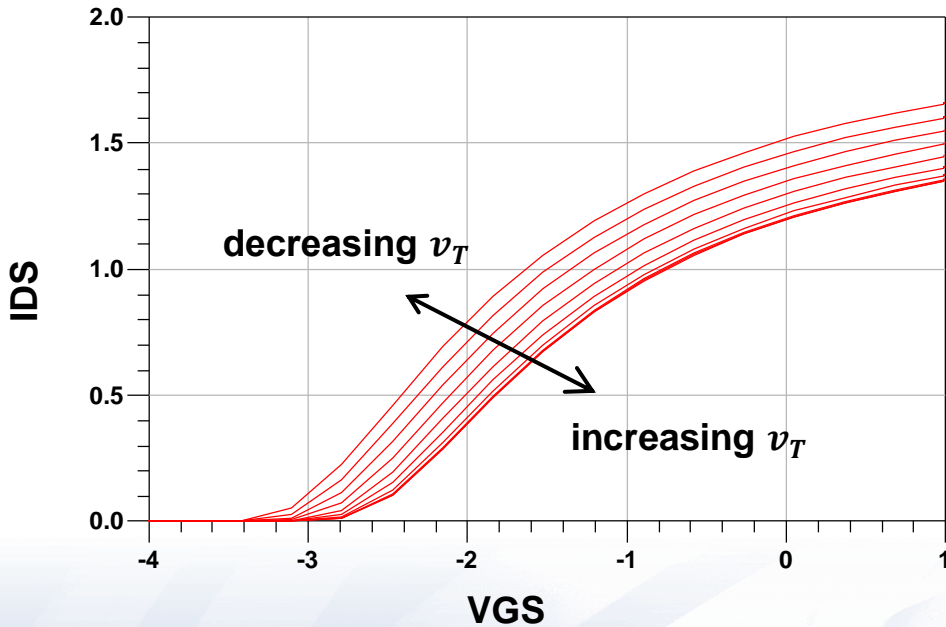


Varying Time Constant



3 – Modeling of Trap Capture and Emission Dynamics

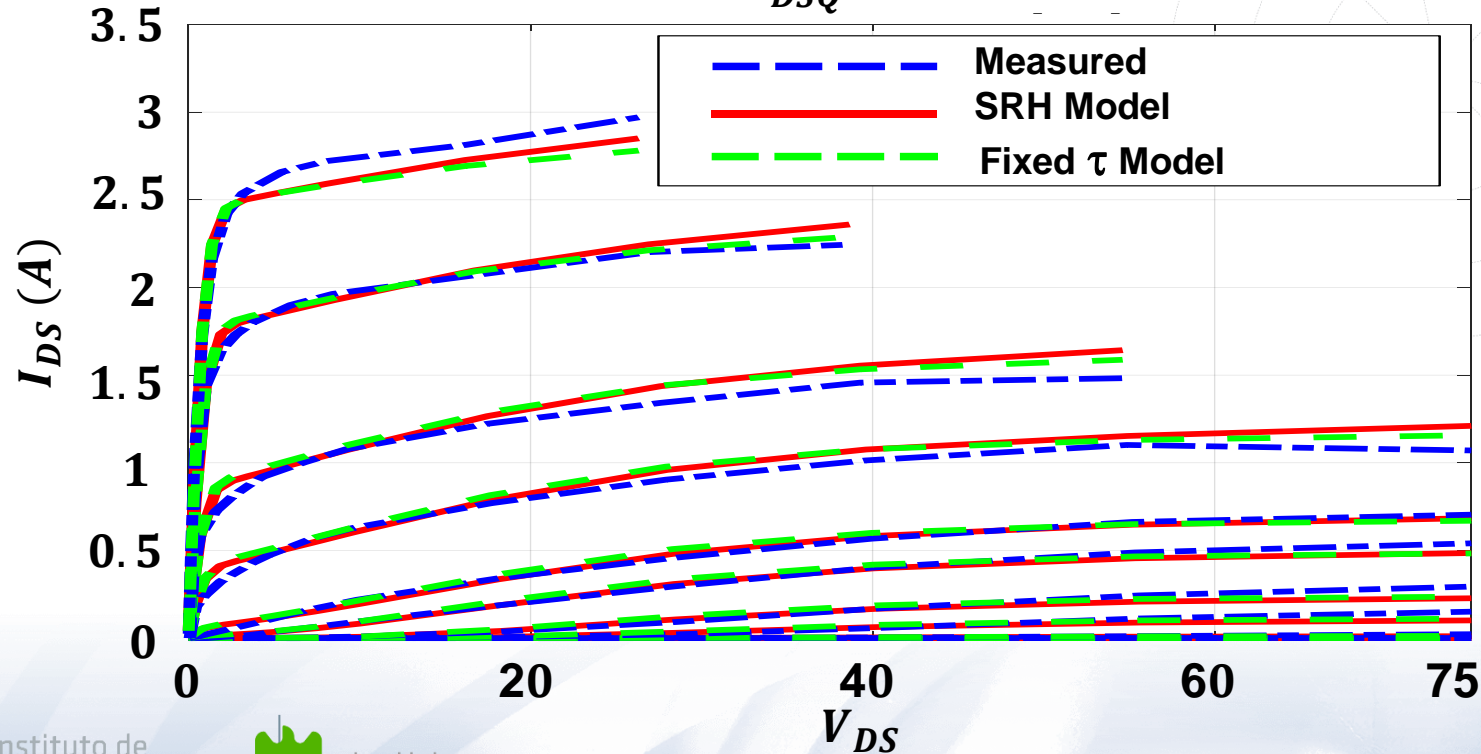
Inclusion of trapping effects in an equivalent-circuit Model



3 – Modeling of Trap Capture and Emission Dynamics

Inclusion of trapping effects in an equivalent-circuit Model

$$V_{DSQ} = 75V$$



Presentation Outline

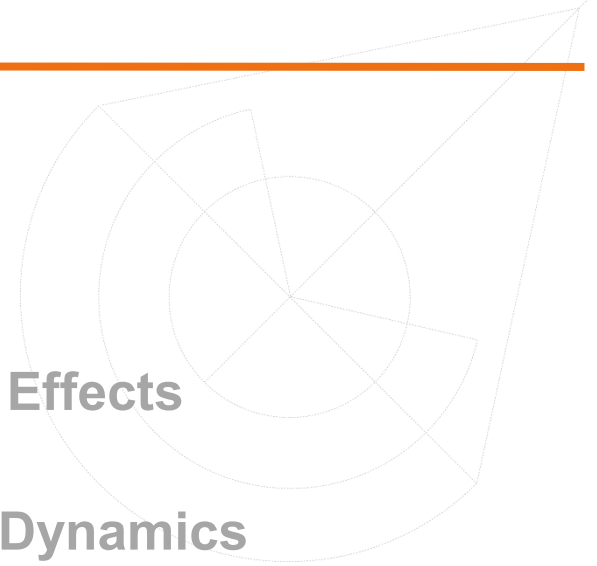
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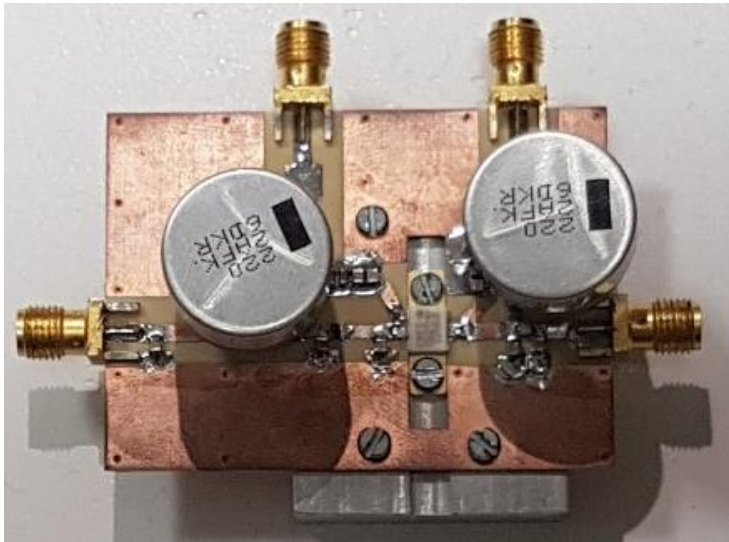
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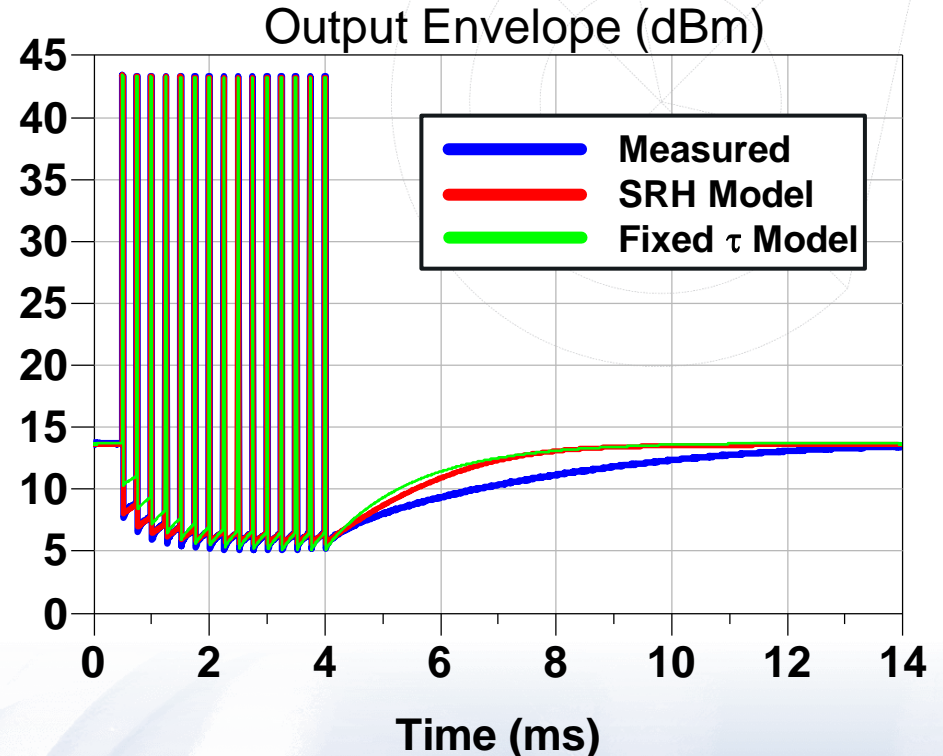


4 – GaN HEMT Nonlinear Model Validation

Validation of the Proposed Model in Radar Applications



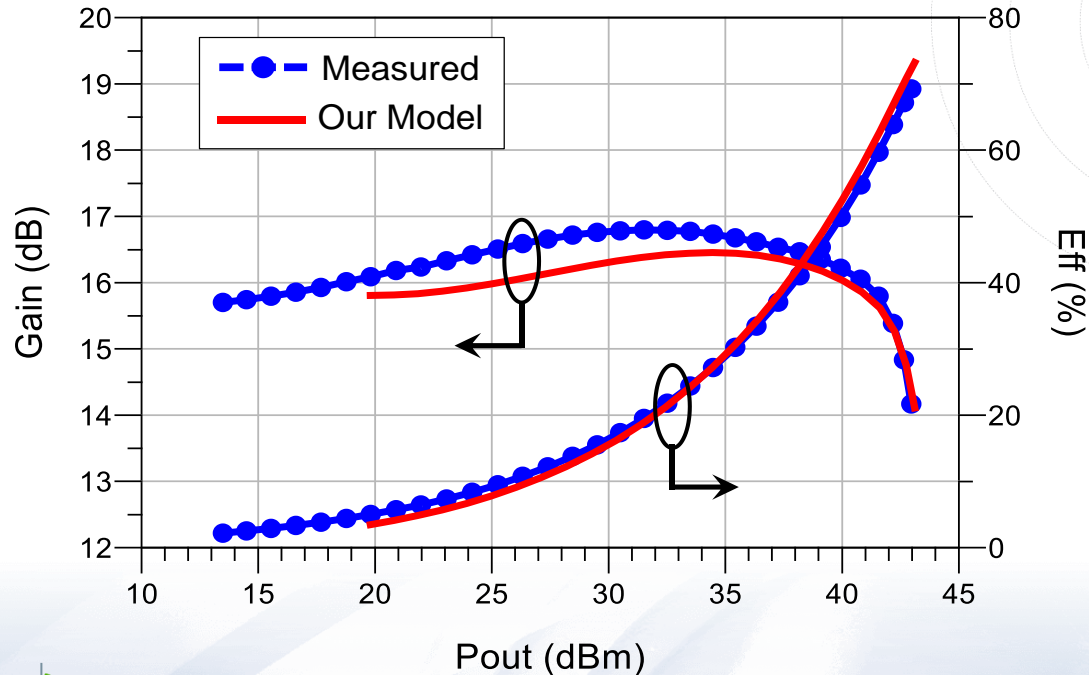
Implemented GaN HEMT based
class AB PA



4 – GaN HEMT Nonlinear Model Validation

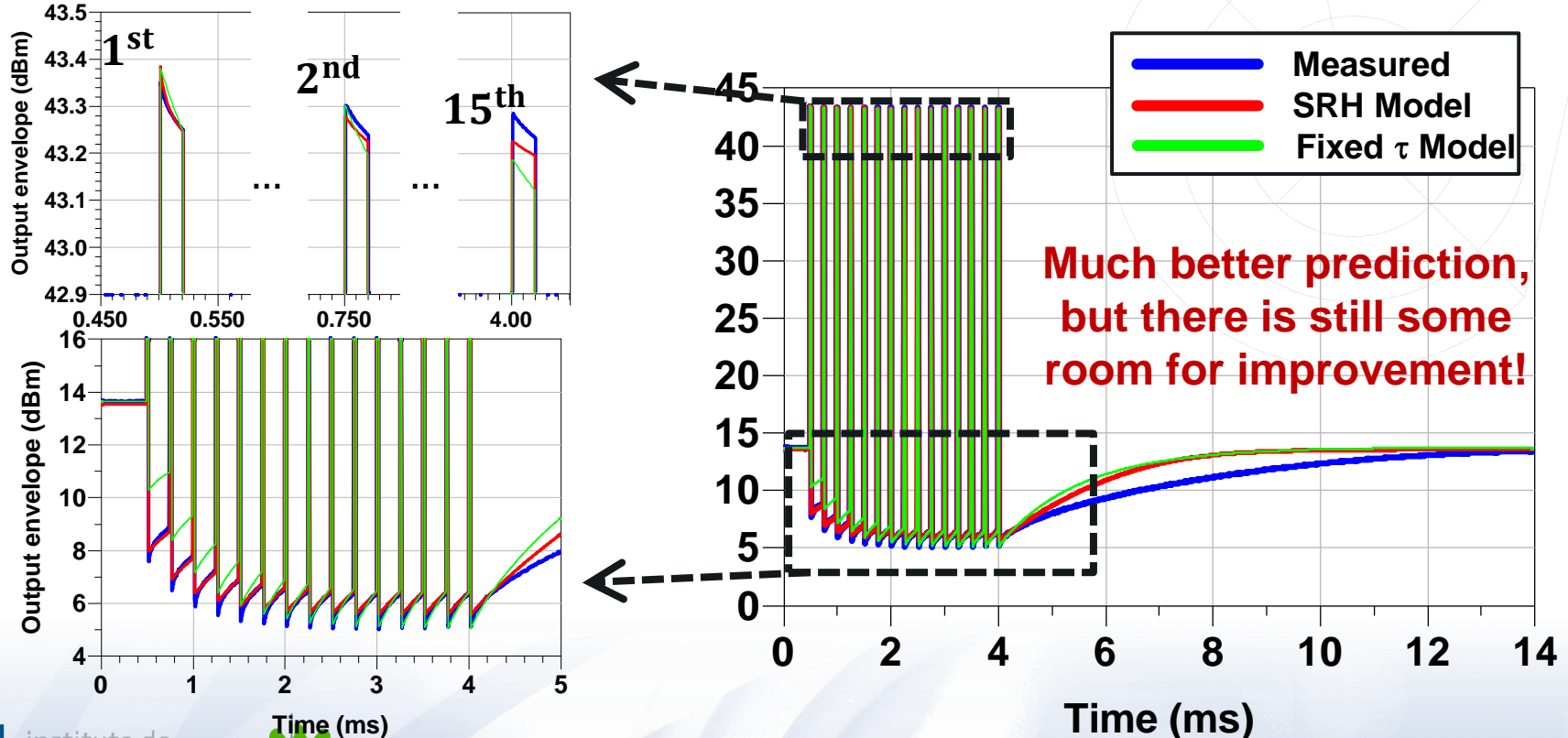
Validation of the Proposed Model in Radar Applications

Output Power and Efficiency Characteristics under CW Excitation



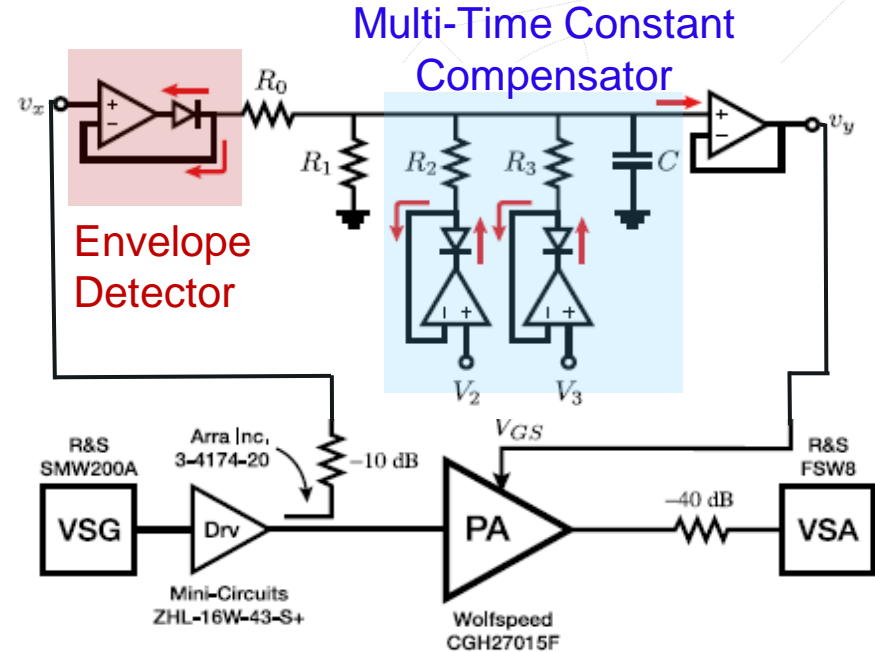
4 – GaN HEMT Nonlinear Model Validation

Validation of the Proposed Model in Radar Applications



4 – GaN HEMT Nonlinear Model Validation

Since trapping effects can be approximately modeled as a variation of the HEMT's threshold voltage, imposed by the back-gate, they should be possible to be compensated by a corresponding slow variation of the gate voltage^{4,5}:

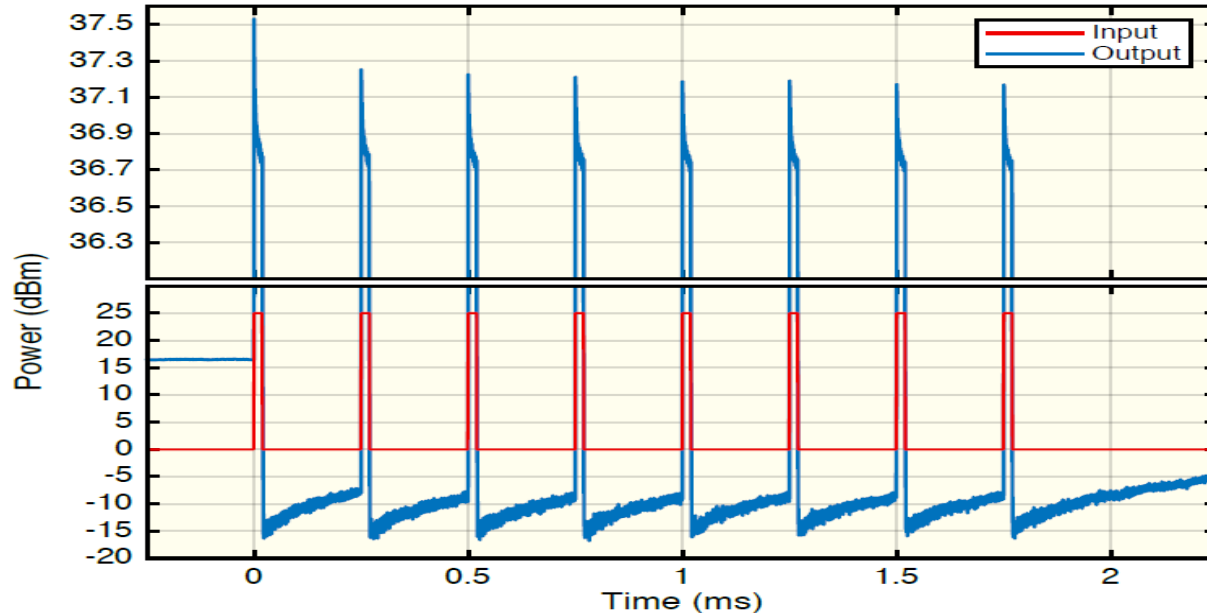


⁴ P. Tomé ; F. Barradas ; T. Cunha and J. Pedro, “Hybrid Analog/Digital Linearization of GaN HEMT-Based Power Amplifiers,” *IEEE T-MTT*, Jan. 2019.

⁵ ----, “A Multiple-Time-Scale Analog Circuit for the Compensation of Long-Term Memory Effects in GaN HEMT-Based Power Amplifiers,” *IEEE T-MTT*, early access 2020.

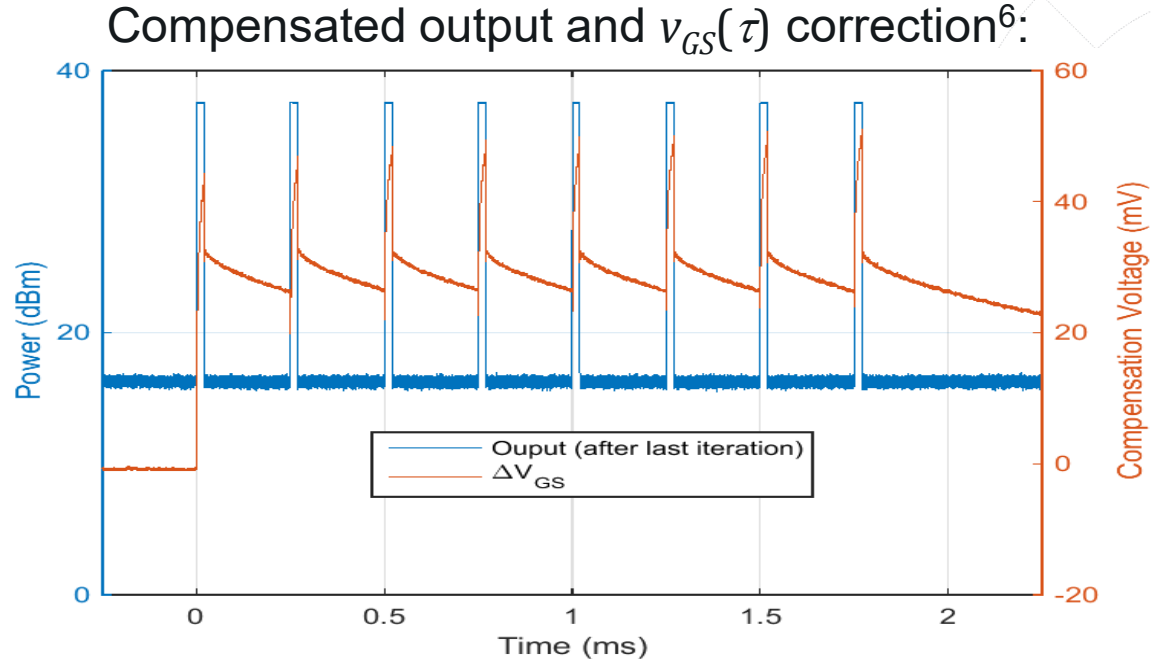
4 – GaN HEMT Nonlinear Model Validation

Input and uncompensated output⁶:



⁶ F. Barradas ; P. Tomé ; T. Cunha and J. Pedro, “Hybrid Analog/Digital Linearization of GaN HEMT-Based Power Amplifiers,” *IEEE T-MTT*, Dec. 2019.

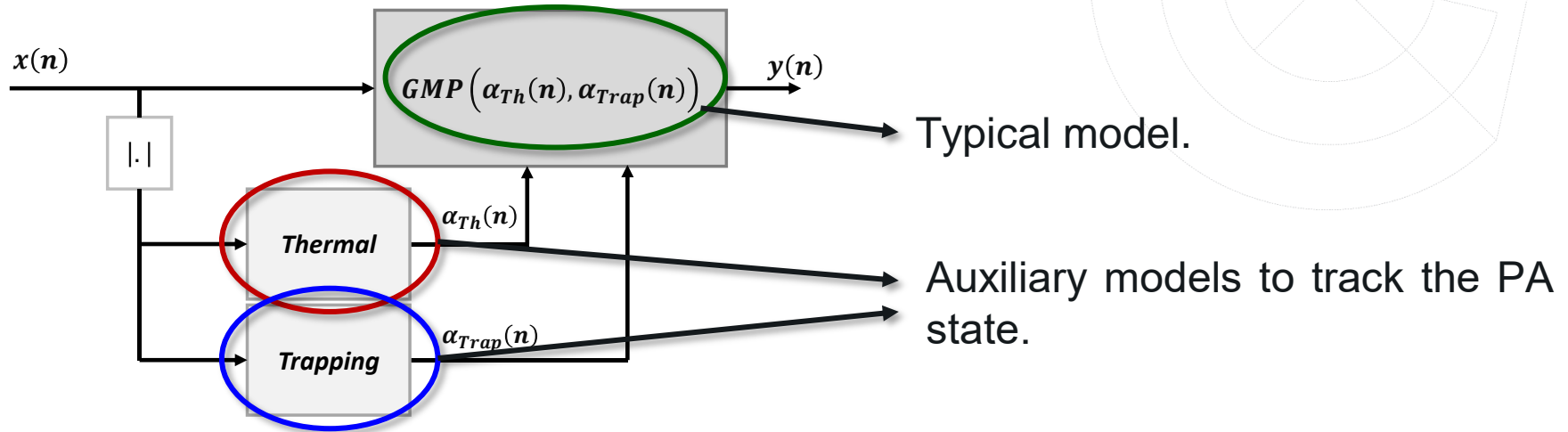
4 – GaN HEMT Nonlinear Model Validation



⁶ F. Barradas ; P. Tomé ; T. Cunha and J. Pedro, “Hybrid Analog/Digital Linearization of GaN HEMT-Based Power Amplifiers,” *IEEE T-MTT*, Dec. 2019.

4 – GaN HEMT Nonlinear Model Validation

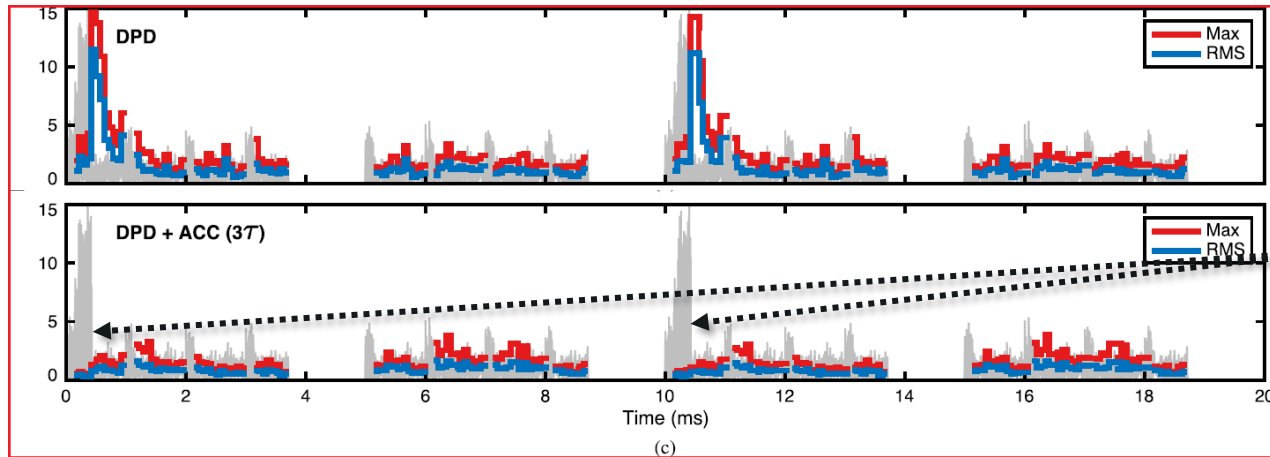
These memory effects could have also been compensated in the digital domain adapting the coefficients of a conventional DPD according to the slowly varying electro-thermal and trapping signals.



⁷ F. M. Barradas, L. C. Nunes, T. R. Cunha, P. M. Lavrador, P. M. Cabral and J. C. Pedro, "Compensation of GaN Long-Term Memory Effects on GaN HEMT Based Power Amplifiers," *IEEE TMTT*, Mar. 2017.

4 – GaN HEMT Nonlinear Model Validation

The application of these nonlinear memory compensation techniques to a PA processing 5G NR signals, showed a remarkable improvement on the EVM.



With slow gate bias control.

Presentation Outline

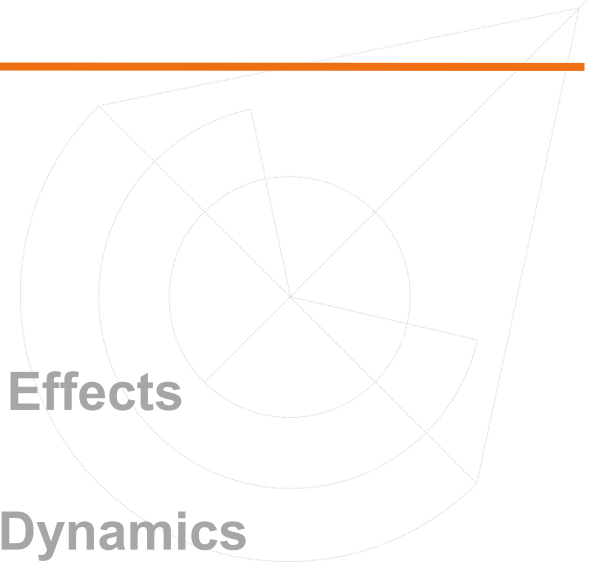
1 – Introduction and Motivation

2 – Physical Origins of GaN HEMT Trapping Effects

3 – Modeling of Trap Capture and Emission Dynamics

4 – GaN HEMT Nonlinear Model Validation

5 – Conclusions



5 – Conclusions

- 1 – Trapping effects are still a major shortcoming of GaN HEMT devices that is impeding a more rapid deployment of this promising RF power device technology.
- 2 – Careful characterization of trapping transients plays a major role in GaN HEMT modeling, but also on device optimization at the fab level. This requires a fruitful interaction between RF designers and device physicists.
- 3 – Multiple time constant behavior of these transients at both the electron capture and emission states is still an open problem.
- 4 – Precise understanding of these complex phenomena and corresponding modeling efforts, pays back in practical applications where time from design to product is a must.

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Pedro M. Tomé – PhD Student

Luis C. Nunes – Assistant Researcher

Filipe E. Barradas – Assistant Researcher

... and the sponsorship of the following companies:



(now: MACOM)



(former: NXP)

Characterization and Modeling of AlGaIn/GaN HEMT Trapping Transients

José C. Pedro

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Thank you !

Instituições Associadas

